

S SYSTEMATIC
T TRANSFER
P PLAN

Enrollment Form

(Please refer Product labeling available on cover page of the KIM and terms and conditions overleaf)



Enrollment Form No. _____

KEY PARTNER / AGENT INFORMATION (Investors applying under Direct Plan must mention "Direct" in ARN column.) **FOR OFFICE USE ONLY (TIME STAMP)**

ARN	ARN / Distributor Name	Sub Agent's ARN	Bank Branch Code	Internal Code for Sub-Agent/ Employee	Employee Unique Identification Number (EUIIN)	Date: D D M M Y Y Y Y			
ARN-									

Upfront commission shall be paid directly by the investor to the ARN Holder (AMFI registered Distributor) based on the investors' assessment of various factors including the service rendered by the ARN Holder.

EUIIN Declaration (only where EUIIN box is left blank) (Refer Instruction No. 15)
I/We hereby confirm that the EUIIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker.

Sign Here
First / Sole Unit Holder / Guardian

Sign Here
Second Unit Holder

Sign Here
Third Unit Holder

I/ We hereby declare and confirm that I/we have read and agree to abide by the terms and conditions of the scheme related documents and the terms & conditions mentioned overleaf of Systematic Transfer Plan (STP) and the relevant Scheme(s) and hereby apply for enrollment under the Systematic Withdrawal Plan of the following Scheme(s)/Plan(s)/Options(s). **The ARN holder (AMFI registered Distributor) has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him/them for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.**

Please (✓) any one. NEW REGISTRATION CANCELLATION

Folio No. of 'Source' Scheme (for existing Unit holder) / Application No. (for new investor) _____

Name of the Applicant	PAN# or PEKRN#	KYC Number	KYC is mandatory# Please (✓)
Name of First/Sole Applicant	_____	_____	Proof Attached <input type="checkbox"/>
Name of Guardian in case First/Sole Applicant is a minor	_____	_____	Proof Attached <input type="checkbox"/>
Name of Second Applicant	_____	_____	Proof Attached <input type="checkbox"/>
Name of Third Applicant	_____	_____	Proof Attached <input type="checkbox"/>

Please attach Proof. If PAN/PEKRN/KYC is already validated, please don't attach any proof. Refer Instruction No. 12 and 13

Name of 'Source' Scheme/Plan/Option	(Investors applying under Direct Plan must mention "Direct" against the Scheme name).		
Name of 'Target' Scheme/Plan/Option	(Investors applying under Direct Plan must mention "Direct" against the Scheme name).		
Amount of Transfer per Installment: Rs. _____ Amount (in words): _____ <input type="radio"/> Monthly* Date of Transfer <input type="checkbox"/> 1st <input type="checkbox"/> 5th <input type="checkbox"/> 10th* <input type="checkbox"/> 15th <input type="checkbox"/> 20th <input type="checkbox"/> 25th	No. of Installments:* _____	Enrollment Period*: From: M M Y Y Y Y To: M M Y Y Y Y	
	OR		

In case of multiple registrations, please fill up separate Enrollment Forms.
*Default frequency/Date/Day (Refer Instruction 8)

SIGNATURE(S)

First / Sole Unit Holder / Guardian

Second Unit Holder

Third Unit Holder

Please note : Signature(s) should be as it appears on the Application Form and in the same order.
In case the mode of holding is joint, all Unit holders are required to sign.

ACKNOWLEDGEMENT SLIP (To be filled in by the Unit holder)

PPFAS MUTUAL FUND		
Date: _____	Corporate Office: 81/82, 8th Floor, Sakhar Bhavan, Ramnath Goenka Marg, 230, Nariman Point, Mumbai - 400 021	Enrollment Form No./Folio No. _____
Received from Mr./Ms./M/s. _____ from Scheme / Plan / Option _____ to Scheme / Plan / Option _____	'STP' application for transfer of Units;	ISC Stamp & Signature _____ _____

TERMS & CONDITIONS / INSTRUCTIONS FOR STP

1. STP is a facility where in unit holder(s) of designated open-ended scheme (s) of PPFAS Mutual Fund (Source Scheme) can opt to transfer a fixed amount at regular intervals to designated open-ended scheme(s) of PPFAS Mutual Fund (Target Scheme). The STP Facility is available only for units held / to be held in Non - demat Mode in the Source and the Target Scheme.
2. The STP Enrollment Form should be completed in English and in Block Letters only. Please tick (✓) in the appropriate box (☐), where boxes have been provided. The STP Enrollment Form complete in all respects, should be submitted at any of the Official Points of Acceptance of PPFAS Mutual Fund.
3. One STP Enrollment Form can be filled for one Scheme/Plan/Option only.
4. Investors are advised to read the Key Information Memorandum(s) (KIMs) and Scheme Information Document(s) (SIDs) of the Target Scheme(s) and Statement of Additional Information (SAI) carefully before investing. The SIDs / KIMs of the respective Scheme(s) and SAI are available with the ISCs of PPFAS Mutual Fund, brokers/distributors and also displayed at the PPFAS Mutual Fund website i.e. <http://amc.ppfas.com>
5. Unit holders should note that unit holders' details and mode of holding (single, joint, anyone or survivor) in the Target Scheme will be as per the existing folio number of the Source Scheme, Units will be allotted under the same folio number. Unitholders' names should match with the details in the existing folio number, failing which, the application is liable to be rejected.
6. If STP date/day is a non-Business Day, then the next Business Day shall be the STP Date/ Day and the same will be considered for the purpose of determining the applicability of NAV. Unit holders should be aware that if they decide to take up this facility, there is possibility of erosion of capital e.g. If the unit holder decides to transfer Rs. 1,000 every month and the appreciation is Rs. 100, then such transfer proceeds will comprise of Rs. 100 from the capital appreciation and Rs. 900 from the unit holder's capital amount.
7. (1) There should be a minimum of 6 Installments for enrollment of STP.
(2) Also, the minimum unit holder's account balance or a minimum amount of application at the time of STP enrollment in the Source Scheme should be Rs. 6,000.
(3) In case, the Enrollment Period has been filled, but the STP Date and/or Frequency has not been indicated, Monthly frequency shall be treated as Default frequency and 10th shall be treated as Default Date.
(4) In case the Start Date is mentioned but End Date is not mentioned, the application will be registered for the minimum number of installments. In case the End Date is mentioned but Start Date is not mentioned, the application will be registered after expiry of 10 days from submission of the application from the default date i.e. 10th of each month (or the immediately succeeding Business Day), provided the minimum number of installments are met. There will be no maximum duration for STP enrollment. However, STPs will be registered in a folio held by a minor, only till the date of the minor attaining majority, even though the instructions may be for a period beyond that date. The STP facility will automatically stand terminated upon the Unit Holder attaining 18 years of age
- 8. In respect of STP enrollments made in the abovementioned Scheme(s), the Load Structure prevalent at the time of enrollment shall govern the investors during the tenure of the STP. For Scheme load structure, please refer to key Information Memorandum or contact the nearest Investor Service Centre (ISC) of PPFAS Mutual Fund or visit our website <http://amc.ppfas.com>**
9. STP will be automatically terminated if all units are liquidated or withdrawn from the Source Scheme or pledged or upon receipt of intimation of death of the unit holder.
10. Unit holders will have the right to discontinue the STP facility at any time by sending a written request to the ISC. Notice of such discontinuance should be received at least 7 days prior to the due date of the next transfer date. On receipt of such request, the STP facility will be terminated.
- 11. Permanent Account Number**
SEBI has made it mandatory for all applicants (in the case of application in joint names, each of the applicants) to mention his/her permanent account number (PAN) {Except as mentioned below} irrespective of the amount of investment. Where the applicant is a minor, and does not possess his / her own PAN, he / she shall quote the PAN of his/ her father or mother or the guardian, as the case may be. Applications not complying with the above requirement may not be accepted/ processed. PAN card copy is not required separately if KYC acknowledgment letter is made available. For further details, please refer Section 'Permanent Account Number' under Statement of Additional Information available on our website <http://amc.ppfas.com>
- PAN Exempt Investments**
PAN Exempt KYC Reference Number (PEKRN) holders may enroll for this facility. For further details on PAN exempt Investments, refer Instructions of Scheme Application Form or Statement of Additional Information. However, if the amount per installment is Rs. 50,000 or more, in accordance with the extant Income Tax rules, investors will be required to furnish a copy of PAN to the Mutual Fund.
- 12. Know Your Customer (KYC) Compliance:** Investors should note that it is mandatory for all registrations for Systematic Transfer Plan (STP) to quote the KYC Compliance Status/ KYC Number, as applicable for each applicant (guardian in case of minor) in the application and attach proof of KYC Compliance viz. KYC Acknowledgment Letter. For more details, please refer to the Statement of Additional Information available on our website <http://amc.ppfas.com>
13. Investors with existing STP enrollment, who wish to invest under the Direct Plan of the Target Scheme must cancel their existing enrollment and register afresh for the facility.
- 14. Investment through Distributors**
Distributors / Agents are not entitled to distribute units of mutual funds unless they are registered with Association of Mutual Funds in India (AMFI). Every employee/ relationship manager/ sales person of the distributor of mutual fund products to quote the Employee Unique Identification Number (EUIN) obtained by him/her from AMFI in the Application Form. Individual ARN holders including senior citizens distributing mutual fund products are also required to obtain and quote EUIN in the Application Form. Hence, if your investments are routed through a distributor, please ensure that the EUIN is correctly filled up in the Application Form. However, in case of any exceptional cases where there is no interaction by the employee/ sales person/relationship manager of the distributor/sub broker with respect to the transaction and EUIN box is left blank, you are required to provide the duly signed declaration to the effect as given in the form. These requirements do not apply to Overseas Distributors.
New cadre distributors: New cadre distributors are permitted to sell eligible schemes of the Fund (details of eligible scheme is available on www.amc.ppfas.com) They also hold an EUIN which must be quoted in the application form. In case your application through such distributor is not for an eligible scheme, it is liable to be rejected.
Direct Investments
Investors applying under Direct Plan must mention "Direct" in ARN column. In case Distributor code is mentioned in the application form, but "Direct Plan" is indicated against the Target Scheme name, the Distributor code will be ignored and the application will be processed under Direct Plan.
15. PPFAS Mutual Fund / PPFAS Asset Management Private Limited reserves the right to change/modify the terms and conditions of the STP. For the updated terms and conditions of STP, contact the nearest ISC or visit our website <http://amc.ppfas.com>