Application Form: Systematic Transfer Plan (STP), Systematic Withdrawal Plan (SWP)

Investor must read the instructions section before completing this form. First time investors need to submit this form along with the main application form



Distributor Name & Broker Code / ARN / RIA Code					/ Agent RIA No.	Sub Agent	gent Code EUIN*			Internal Code for AMC	ISC Date Time Stamp, Sign, Reference No.				
ARN-118251															
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Signature of 1st Applicant/Guardian/ Authorised Signatory/PoA/Karta					Signature of 2nd Applicant/Guardian/ Authorised Signatory/PoA					Sign	Signature of 3rd Applicant/Guardian/ Authorised Signatory/PoA				
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To The Trus rules and re laws enacte information details with me/us all th portfolio and terms & cor confirm that States perso of this Form information the facts of Fund/AMC ((India) Pvt L	itees, Mirae Asset Mutual Fund (The Fund) gulations governing the scheme. (B) IWeb d by the Government of India from time to sought by Mirae Asset Investment Manage the regulatory and government authorities e commissions (in the form of Irail commiss of or any indicative yellod by the Fund/Mario I/We satisfy the Residency test as presult only under the taws of United States or res (read along with the FATCA & CRS Instant is not provided, it will be presumed that peneficial ownership. I/We also undertake or updating the same in my folio. 'Securitic to' in Viriae Asset Investment Managers (I	— (A) Having read a ereby declare that it ime. (C) Signate the rest (India) Private Lir as and when needs sion or any other ma ts distributor for this he AMC website fo ed under FEMA pro- ident(s) of Canada. ctions) and hereby o blicant is the ultimat to keep you inform as and Exchange Bs and all Private Limite	and understood the the amount invests of the nominee ad mited* (AMC) Fur ed. I/We will inder odole), payable to Is investment. I/We furth visions. I/We furth. In case of chang confirm that the in the beneficial owne ed in writing abou oard of India ("SE" ed., in this regard v. in this regard v. in this regard v.	e contents of ed in the sch cknowledgin nd and unde mnify the Fun im for the d e have not re le. (H) RIA: I her declare t e to this stat formation pr r, with no de it any chang BI") vide its I we request y	the SID/SAI/KIM of eme is through leging precipts of my trake to update the d, AMC, Trustee, I fiferent competing ceived nor have be VIWe hereby agree! us, I / We shall noti ovided by me / us o claration to submit. es/modification to t etter dated Novem ou to refer to our no un to un to see un t	If the Scheme applied for (Inclu- timate sources only and does re- redef will constitute full disch- information/details with the AM RTA and other intermedianes Schemes of various Mutual Fu- ren induced by any rebate or go to consent the AMC to share ne- erson Resident in India" and any ty the AMC, in which event the no this Form is true, correct, an In such case, the concerned of the above information in future ber 20, 2019 bearing reference otice cum addendum no. ADI2	ding the schen not involve and arge of liabiliti (C / Fund/Reg in case of any nds from amo ifts, directly or ny transaction e allowed to in AMC reserve d complete. I. SEBI registere & also under no. SEBI/HO 8/2019 dated	ne(s) available during the Nevelsian of the Seigned for the yeas of Mirae Asset Mutual Fur sees of Mirae Asset Mutual Fur sisters and Transfer Agent (f. dispute regarding the eligibil night with the Scheme is be indirectly in making this inve defails to the registered invest into the Scheme as per set to the registered invest into the Scheme as per set to the registered of the sees of the set	w Fund Offer ose of the co nd. (D) The in RTA) from tin lity, validity a bing recommentment. (G) setment advirthe said FEI ir investment have read a right to reject ditional info ("SEBI No in detail.")	r period); I/We hereby apply for intravention of any provisions o information gives in I/ with Mis a ne to time. I/We hereby confirm and authorization of my/our ta lended to melus. (F) I/We here Applicable to Investors availing sor (RIA) through the registrar MA regulations and other applic to in the Scheme(s). (K) FATC and understood the FATCA& (If the application or reverse the mation as may be required at OC") had granted their non-obj	units of the said such si the Income Tax Act, Ar. pplication form is true i that the AMC/Fund sh sacctions. (E) I/We furth by confirm that I/We ha the online facility: I/We to otherwise. (I) Applica sable laws and regulatic /CRS Certification: I/ 85 Terms and Conditio allotment of units, if sul your end. (L) Aadhaar: ction to transfer the AM.	cheme and ag nti Money Lau and correct are all have the rig ener declare the ve not been of have read, u ble to Foreig ms. (J) I / We We have und ns and hereby obsequently it i I/We hereby I/C Business f	ree to abide by ndering Laws of further agree with to share my at "The ARN hiffered! communderstood and n Resident's Reconfirm that I a erstood the infor a accept the sa s found that apvoluntarily subrorm 'Mirae Ass	the terms, conc r any other app s to furnish add s information and older has disclo- nicated any ind shall be bound siding in India: m / We are not rmation require ne. In case the olicant has con it Aadhar card et Global Invest	litions licable ditional dother sed to icative by the licative by the licative ments above cealed to the tments
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Application Form: Systematic Transfer Plan (STP), Systematic Withdrawal Plan (SWP)



A. INSTRUCTIONS - COMMON TO SWP / STP

- 1. New investors who wish to enrol for SWP / STP should fill the form in addition to the Common Application Form. Please complete all details in the Common Application Form. Details of SWP / STP should be provided on this form. Existing investors need to fill up this form only.
- 2. For multiple transactions under more than 1 scheme, separate form should be used
- 3. STP / SWP the form should be submitted at least 5 days (inclusive of the date of submission before Cut-off however, excluding Saturday, Sunday and other non-business day) before the commencement date.
 - a) The installment start date shall not be later than 100 calender days from the date of application submission date.
- 4. The investor has the right to discontinue SWP / STP at any time he/she so desires by sending cancellation form at least 7 business days in advance of the immediate next due date to any of the offices of Mirae Asset Mutual Fund or its Authorised Collection Centres. On receipt of such request the SWP / STP will be terminated and in case of SIP balance post-dated cheques will be returned to the investor.
- 5. Units will be Allotted / Redeemed / Transferred at the NAV related prices of the 1st, 10th, 15th, 21st, 28th of every month (or next business day, if 1st and/or 10th and/ or 15th and/or 21st and/or 28th is a non business day). In case of Post Dated Cheques submitted for any dates, other than the dates offered, immediate next offered date would be considered as the SIP date(s). An updated account statement will be sent after each transaction under the Special Products, wherever, email address has been provided, the account statements will be sent through email only. Other investors will be sent on a quarterly basis.

B. INSTRUCTIONS - SYSTEMATIC WITHDRAWAL PLAN (SWP)

Options available and Minimum Amount:

- 1. Investors can withdraw fixed amount of Rs. 1,000/- each and above, only under the Growth option of the scheme under which SWP is allowed
- 2. SWP is not available for investments under lock-in period and for investments which are pledged.
- 3. SWP can be made through Fixed withdrawals only.
- 4. The SWP will be registered at the requested frequency after 5 days (inclusive of the date of submission before Cut-off however, excluding Saturday, Sunday and other non- business day).
- 5. SWP payment will be made in the bank account registered under the folio.
- 6. In case of Fixed Withdrawal, if the amount of installment is more than the amount available in that account for redemption, the entire available amount will be redeemed and the SWP will be terminated.
- 7. Investors can withdraw fixed amount on 1st, 10th, 15th, 21st and 28th under the 4 frequencies which is Monthly, Quarterly, Semi Annually and Annually.
- 8. SWP shall be registered for a default of 5 installments across each frequency for a minimum of Rs.1000 or above.
- Default frequency will be Monthly and 10th of each month in case of option selected or any ambiguity in selection of frequency or date.
- 10. Applicable Exit Load under SWP, which will be on First In First Out Basis (FIFO):
 - a) SWP for 15% of the units allotted (including Switch-in/STP in) on or before completion of 365 days from the date of allotment of units: Exit Load Nil. Not eligible for Mirae Asset Focused Fund.
 - b) Any redemption by virtue of SWP in excess of such above limits in the first 365 days from the date of allotment, Exit Load of 1% shall apply. There shall be NIL Exit Load, for the units that are redeemed after 1 year (365 days) from the date of allotment.
- 11. If there are 3 Consecutive failures on account of SWP execution, by virtue of insufficient balance in the Scheme opted for the designated frequency/date, SWP shall be automatically ceased/terminated for all future installments.

C. INSTRUCTIONS - SYSTEMATIC TRANSFER PLAN (STP)

- 1. Transfer fixed sums from their Unit accounts in the Scheme to the existing schemes or other schemes launched by the Mirea Asset Mutual Fund from time to time.
- 2. Minimum Amount: A] Daily Monday to Friday: 5 transfers of ₹ 1,000/- each and above.
- B] Weekly Every Wednesday / Fortnightly Every Alternate Wednesday Monthly Plan: Minimum 5 transfers of ₹ 1,000/- each and above.
- C] Quarterly Plan: Minimum 5 transfers of ₹ 1,000/- each and above.
- D] For STPs under Mirae Asset Tax Saver Fund (MATS) minimum amount of STP shall be of ₹ 500/- and in multiples of ₹ 500/- thereafter. Each STP Installment 'OUT' of Mirae Asset Tax Saver Fund shall be subject to lock in period of 3 years from the date of allotment of Units proposed to be redeemed. In the interest of investors, it is hereby clarified that where the switch request has been made from one scheme to another specifying the number of Units or Amount (during NFO as well as ongoing), the request will be processed for the corresponding value of such units or amount and that the allotment in the resultant scheme may be done in fractional units, subject to fulfillment of the minimum purchase amount of the scheme where it is being switched.
- 3. STP is not available for investments under lock-in period.
- 4. In case of insufficient balance, the transfer will not be effected. In case of absence /ambiguity in selection of frequency, default will be monthly for 10 of each month,
- 5. Transfer Dates/ Days: Daily Monday to Friday, Weekly Every Wednesday, Fortnightly Every Alternate Wednesday
 - Monthly Plan: Transfers can be on 1st, 10th, 15th, 21st, 28th of each month for minimum 5 transfers.
 - Quarterly Systematic Transfer Plan: Transfers can be on 1st, 10th, 15th, 21st, 28th of each quarter or minimum 5 quarters.
- 6. Transactions will be triggered after 5 days (inclusive of the date of submission before Cut-off however, excluding Saturday, Sunday and other non-business day). In case of any ambiguity in selection transfer frequency or start date, the STP date will be 10th of the month / quarter, and STP will start from the immediate available applicable day for the respective frequency option after the expiry of Said Period. Further, if there is a discrepancy in terms of Transfer Period, STP will continue as long as there is sufficient balance under the OUT scheme.
- 7. At every installment, the system will check for the Balance amount in the Transferor Scheme with "STP Amount":
 - 1. If "Balance Amount in the Transferor Scheme" is more than or equal to "2 x STP Amount", then only the STP amount will be transferred and the balance amount will continue to remain in the Scheme.
 - 2. If "Balance Amount in the Transferor Scheme" is less than "2 x STP Amount", the entire Balance Amount in the Transferor Scheme (OUT Scheme) will be switched out in to the Transferoe Scheme (IN Scheme).

Further, if there are 3 consecutive failures on account of STP execution from the Transferor Scheme (OUT Scheme), on the designated frequency, STP shall be ceased / terminated for all future installments. The provision relating to "Minimum Redemption Amount" of the designated Transferor Scheme(s) and "Minimum Application Amount" of the designated Transferoe Scheme(s) shall not be applicable for such STP executions on a residual note.

- Example: If an investor having investment of ₹ 15,000 in Mirae Asset Cash Management Fund (MACMF) starts monthly STP of ₹ 1,000 in Mirae Asset Emerging Bluechip Fund (MAIEF)
- If at the time of STP installment, the Balance amount in the MACMF is more than or equal to ₹ 2,000 only STP Amount of ₹ 1,000 will be transferred to MAIEF.
- If at the time of STP installment, the Balance amount in the MACMF is less than ₹ 2,000 the entire balance amount in MACMF will be transferred to MAIEF.

D. EUIN

Employee Unique Identification Number (EUIN): SEBI has made it compulsory for every employee / relationship manager / sales person of the distributor of mutual fund products to quote the EUIN obtained by him / her from AMFI in the Application Form. EUIN, particularly in advisory transaction, would assist in addressing any instance of mis-selling even if the employee / relationship manager/sales person later leaves the employment of the distributor, individual ARN holders including senior citizens distributing mutual fund products are also required to optain and quote EUIN in the Application Form. Hence, if your investments are routed through a distributor please ensure that the EUIN is correctly filled up in the Application Form. However, if your distributor has not given you any advice pertaining to the investment, the EUIN box may be left blank. In this case, you are required to tick mark the box provided above the signature box. However, in case of any exceptional cases where there is no interaction by the employee/ sales person/ relationship manager of the distributor/ sub broker with respect to the transaction, AMCs shall take the requisite declaration separately signed by the investor.