

# SYSTEMATIC WITHDRAWAL PLAN (SWP)



Date

ARN* / RIA Code / PMRN	ARN / RIA / PM Name	Sub-broker Code	Sub-broker ARN Code	RM Code	Employee Unique Identification Number (EUIN)	Time Stamp No.
ARN-118251						

#By mentioning RIA code (Registered Investment Adviser), I/we authorize you to share the investment Adviser details of my/our transactions in the scheme(s) of LIC Mutual Fund.  
By mentioning PMRN code (Portfolio Manager's Registration Number), I/we authorize you to share with the SEBI-Registered Portfolio Manager the details of my/our transactions in the scheme(s) of LIC Mutual Fund.

I/we hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributors broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of inappropriateness, if any, provide by the employee/relationship manager/sales persons of the distributor/sub broker.

<input checked="" type="checkbox"/> SIGN HERE First/Sole Applicant/Guardian	<input checked="" type="checkbox"/> SIGN HERE Second Applicant	<input checked="" type="checkbox"/> SIGN HERE Third Applicant	<input checked="" type="checkbox"/> SIGN HERE Power of Attorney Holder
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## 01. INVESTOR NAME AND DETAILS

Name of 1st Applicant  FIRST  MIDDLE  LAST

Folio No./Application No.           PAN

Enclosed  PAN Proof  KYC Acknowledgment Letter CKYC No.

E-mail ID  (EMAIL Id to be written in BLOCK letters)

## 02. SWP DETAILS\* (To be submitted atleast 15 days before 1<sup>st</sup> due date. Please (✓) the appropriate option)

Scheme Name

Plan  Regular  Direct Option  Growth  Dividend Reinvestment

Withdrawal Period From <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> To <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	Withdrawal Frequency	SWP Dates
<input type="checkbox"/> Fixed Amount (₹) ..... in figures In words ..... in words	<input type="checkbox"/> Monthly (minimum 6) <input type="checkbox"/> Quarterly (minimum 4)	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> (Any date from 1 <sup>st</sup> to 28 <sup>th</sup> of a given month) #Default Date
<input type="checkbox"/> Capital Appreciation (AWOCA)	<input type="checkbox"/> Monthly (minimum 6) <input type="checkbox"/> Quarterly (minimum 4)	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> (Any date from 1 <sup>st</sup> to 28 <sup>th</sup> of a given month) #Default Date

\*In the event that such a day is a holiday, the withdrawal would be affected on the next business day. # Default date is 10th of given month.

## 03. DECLARATION & SIGNATURE/S

Having read and understood the content of the SID / SAI of the scheme, I/we hereby apply for units of the scheme. I have read and understood the terms, conditions, details, rules and regulations governing of scheme. I/We hereby declare that the amount invested in the scheme is through legitimate source only and does not involve designed for the purpose of contravention of any Act, rules, Regulations, Notifications or Directives of the provisions of the Income Tax Act, Anti Money Laundering Laws, Anti Corruption Laws or any other applicable laws enacted by the Government of India from time to time. I/we have not received nor have been induced by any rebate or gifts, directly or indirectly in making this investment. I/We confirm that the funds invested in the Scheme, legally belongs to me/us. In event "Know Your Customer" process is not completed by me/us to the satisfaction of the Mutual Fund, (I/we hereby authorize the Mutual Fund, to redeem the funds invested in the Scheme, in favour of the applicant, at the applicable NAV prevailing on the date of such redemption and undertake such other action with such funds that may be required by the law.) The ARN holder has disclosed to me/us all the commissions (trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds amongst which the Scheme is being recommended to me/ us.

I / We hereby provide my / our consent in accordance with Aadhaar Act, 2016 and regulations made there under, for (i) collecting, storing and usage (ii) validating / authenticating and (iii) updating my/our Aadhaar number(s) in accordance with the Aadhaar Act, 2016 (and regulations made there under) and PMLA. I / We hereby provide my /our consent for sharing / disclose of the Aadhaar number(s) including demographic information with the asset management companies of SEBI registered mutual fund and their Registrar and Transfer Agent (RTA) for the purpose of updating the same in my / our folios with my / our PAN.

<input checked="" type="checkbox"/> SIGN HERE First/Sole Applicant/Guardian	<input checked="" type="checkbox"/> SIGN HERE Second Applicant	<input checked="" type="checkbox"/> SIGN HERE Third Applicant	<input checked="" type="checkbox"/> SIGN HERE Power of Attorney Holder
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To be signed by All Applicants if mode of operation is "Joint"

# TERMS & CONDITIONS

1. The SWP enrolment Form should be completed in English and in Block Letters only. Please tick (✓) in the appropriate box, where boxes have been provided. The SWP Enrolment Form complete in all respects, should be submitted at any of the Official Points of Acceptance of LIC Mutual Fund.
2. One SWP Enrolment Form can be filled for one Scheme/Plan/ Option only
3. This facility enables unitholders to withdraw a fixed sum (subject to tax deduction at source, if applicable) from the unit holder's account through a one-time request.
4. This facility is allowed for Growth Plan as well as Dividend reinvestment plan of Dividend option.
5. The SWP date will be any date between 1st to 28th of every month (in case it falls on a non-business day, the transaction will be effected on the next business day of the Scheme). Investor can choose any date between these dates.
6. The SWP frequency will be monthly and quarterly.
7. The minimum SWP instalment size is INR 500, with an option for monthly and quarterly. In case the SWP frequency is not specified, the default frequency will be monthly.
8. Registration can happen in 7 working days and maximum 30 days before the first withdrawal date.
9. Investors are advised to read the Key Information Memorandum(s) (KIMs) and Scheme Information Document(s) (SIDs) of the Transferee Scheme(s) and Statement of Additional Information (SAI) carefully before investing. The SIDs / KIMs of the respective Scheme(s) and SAI are available with the ISCs of LIC Mutual Fund, brokers/distributors and also displayed at the LIC Mutual Fund website i.e. [www.licmf.com](http://www.licmf.com)
11. The SWP Facility is available only for units held / to be held in Non - demat Mode.
12. In respect of amount withdrawn under SWP, the Exit Load, if any, applicable to the Scheme/Plan as on the date of allotment of units shall be levied.
13. The provision for 'Minimum Redemption Amount' specified in the respective Scheme Information Document will not be applicable for SWP.
14. The Unit holder should submit the duly filled in SWP Enrolment Form at least 10 days & maximum 30 days before the first withdrawal date.
15. The amount withdrawn under SWP by Redemption shall be converted into the specific Scheme/Plan Units at the NAV based prices as on the SWP withdrawal date and such Units will be subtracted from the Unit balance of the Unit holders. In case these dates fall on a holiday or fall during a Book Closure period, the next Business Day will be considered for this purpose.
18. SWP facility may be terminated on receipt of a written notice from the Unit holder. Notice of such discontinuation should be received at least 10 days prior to the due date of the next withdrawal. SWP will terminate automatically if all Units are liquidated or withdrawn from the folio or pledged or upon receipt of notification of death of the first named Unit holder. OR after 3 consecutive unsuccessful transactions.
19. Any Day SWP is applicable for LIC MF Tax Plan & LIC MF Children's Gift Fund post their lock-in period of 3 Years and 5 Years respectively.
20. SWP is not applicable for LIC MF Unit Linked Insurance Scheme and ETF's.
21. SWP facility is currently available in all growth and dividend reinvestment options.

All other terms and conditions of schemes will remain unchanged.