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Demat No. 1208550000



ATTENTION

Declaration pursuant to National Stock Exchange of India Circular bearing reference No. NSE/INSP/2011/121 dated 22nd August, 2011 and Bombay Stock Exchange Limited Circular bearing reference No. 20110823/4 dated 23rd August, 2011 and CDSL/OPS/DP/Policy/2615 dated 23rd August, 2011 read with SEBI circular bearing reference No. Cir/ MIRS/16/2011 dated 22nd August, 2011, Multy Commodity Exchange Limited (MCX) Circular bearing No. MCX / COMP / 469 / 2011 dated 22nd December, 2011 read with SEBI Circular bearing No. Div. III / 1 / 89 / 07 dated 16th December, 2011.

Declaration pursuant to PMLA by SEBI vide its circular ISD/AML/CIR-1/2010 dted 12th February, 2011.

Professional Clearing Member NSE & BSE - F & O NSE - CD Edelweiss Custodial Services Ltd Edelweiss House, 5th floor, Kalina, Santacruz (E) Mumbai - 400098	Wealthstreet Advisors Private Limited (WSAPL)		
	SEBI Regn. Nos. :-		
	NSE Cash (CM)	} INZ 000157331	Dt. 27.09.2017
	NSE Derivatives (F&O)		
	NSE Currency Derivatives (CD)		
	BSE Cash (CM)		
BSE Derivatives (F&O)			
BSE Currency Derivatives (CD)	} IN - DP - 361 - 2018	Dt. 05.04.2018	
MCX			
CDSL DP - 85500	- ARN-118251	Dt. 09.01.2017	
MFSS Segment - AMFI			

(This information is the sole property of Wealthstreet Advisors Private Limited and would not be disclosed to anyone unless required by law or except with express permission of client)

Director / Compliance Officer Name	Mr. Ajay Sarogi / Mr. Suren Pandya	
Tel No.	079-66775500	Email id: grievance@wealthstreet.in

For any grievance / dispute please contact **Wealthstreet Advisors Private Limited (WSAPL)** at the above address or email id - helpdesk@wealthstreet.in and Tel. No. 079-66775500. In case not satisfied with the response, please contact the concerned exchange(s). For **NSE** for - ignse@nse.co.in or 022-26598190 / For **BSE**- is@bseindia.com or 022-22728097. For **MCX**- compliance@mcxindia.com or 022-66494090.

- Claim is not registered
- All other matters



REG_F

ACCOUNT OPENING KIT

ACKNOWLEDGEMENT

Wealthstreet Advisors Pvt Ltd

Regd. Office : A-1101, Mondeal Heights, Besides Novotel Hotel, S.G. Highway, Ahmedabad-380015.

Dear Sir,

This has reference to necessary Know Your Client Form containing basic information, additional information and other documents executed by me. I hereby acknowledge receipt of following documents from you:-

S.No.		Page Nos.
Particulars (Mandatory as prescribed by Equity Exchange)		
1.	Documents containing mandatory Rights and Obligations of Beneficial Owner and Depository Participant as prescribed by SEBI and Depositories	1-4
2.	Documents containing voluntary Rights & Obligations of stock broker, sub-broker and client forming part of documents as mentioned in clause 1 above.	5-11
3.	Internet & wireless technology based trading facility provided by stock brokers to client	12-13
4.	Risk Disclosure Documents.	14-19
5.	Document detailing do's and don'ts for trading on exchange, for the education of the investors.	20-22
6.	Policy and Procedures document. (Voluntary as prescribed by Member Broker)	23-30
Particulars (Voluntary as prescribed by Member Broker)		
1.	Containing Clause (1-27) Voluntary documents pertaining to non-mandatory documentation and tariff sheet duly signed by me.	31-36
Mandatory Documents as Prescribed by Commodity Exchange		
1.	Uniform Risk Disclosure Document (RDD) - Document detailing risks associated with dealing in the commodities market.	1-6
2.	Rights and Obligations of Members, Authorized Persons and Clients - Document stating the rights & obligations of member, authorized person and client for trading on exchanges (including additional rights & obligations in case of internet/wireless technology based trading)	7 - 17
3.	Internet & wireless technology based trading facility provided by members to client	18 -19
4.	Do's and Don't for the Investors - Document detailing do's and don't for trading on exchange, for the education of the investors.	20 - 23
5.	Policies & Procedure - Policies & Procedure for commodities.	24 - 28

I state that I have read and understood all above documents and these documents are binding upon me.

Thanking You,

Signature


(First/Sole Holder Signature)


(Second Holder Signature)


(Third Holder Signature)

Stamp & Sign



**MANDATORY DOCUMENTS AS PRESCRIBED BY SEBI UNDER NOTIFICATION NO.
CIR/MIRSD/16/2011 (22.08.2011)**

Sr. No.	Name of the document	Brief significance of the document	RG. Nos
1.	Know Your Client or Account Opening Form		-
		B] Document captures the additional information of the constituent relevant to trading account (for non -Individuals)	1-2
2.	Trading Account Details and Brokerage Tariff Details	Details of Constituent pertaining to bank account / Depository / Past actions. Document detailing the rate/amount of brokerage and other charges levied on the constituent for trading on the Stock & Commodity Exchanges.	3-10
3.	BO Nomination Form	Details pertaining to Beneficiary Owner	11-12
4.	Schedule of Charges (BO Account)	Tariff details pertaining to BO Account	13
5.	FATCA Individual	Account Opening Form Supplement	14
6.	Power Of Attorney	POA to nominate, constitute and appoint Member for DP operations, pledging with clearing corporation etc.	15-16
7.	Declaration By HUF	Declaration for operating Beneficiary Account (by Karta/HUF)	17
8.	Running Account Authorization	Authorization letter to maintain constituents account on Running Account basis.	18-19
9.	Star MF / MFSS	Mutual Fund Registration BSE/NSE	20
10.	ECN	Declaration for Commodity Exchange	22-23



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Wealthstreet
The Financial Engineers

Regd. Office : A-1101, Mondeal Heights,
Besides Novotel Hotel, S.G. Highway,
Ahmedabad-380015.

KNOW YOUR CLIENT (KYC) APPLICATION FORM - NON-INDIVIDUALS																	
Please fill this form in English and in block letters																	
IDENTITY DEATILS																	
Name of the Applicant																	
Affix recent passport size photograph sign across the face X 2																	
Date of Incorporation				Place of Incorporation													
date of commencement of business:																	
PAN						Regn. No. (e.g. CIN)											
Status (Please Tick Any One)																	
<input type="checkbox"/> Private Limited Co. <input type="checkbox"/> Public Ltd. Co. <input type="checkbox"/> Body Corporate <input type="checkbox"/> Partnership <input type="checkbox"/> Trust <input type="checkbox"/> Charities <input type="checkbox"/> NGO's <input type="checkbox"/> FI <input type="checkbox"/> FII <input type="checkbox"/> HUF <input type="checkbox"/> AOP <input type="checkbox"/> Bank <input type="checkbox"/> Government Body <input type="checkbox"/> Non-Government Organization <input type="checkbox"/> Defense Establishment <input type="checkbox"/> BOI <input type="checkbox"/> Society <input type="checkbox"/> LLP <input type="checkbox"/> Qualified Foreign Investor <input type="checkbox"/> Mutual Fund <input type="checkbox"/> Others (please specify) _____																	
ADDRESS DETAILS																	
ADDRESS FOR CORRESPONDENCE:																	
City			PIN			State			Country								
Registered Address : (If different from Correspondence):																	
City			PIN			State			Country								
CONTACT DETAILS																	
Tel. Off.				Tel. Resi.				Fax									
Mobile				Email													
Specify the proof submitted for Correspondence Address						Specify the proof submitted for Registered Address											
OTHER DETAILS																	
Name, PAN, residential address and photographs of promoters/partners/karta/Trustees and whole time directors: (In case of additional list of directors, seperate sheet should be used)																	
Name				Name				Name									
Residence Address				Residence Address				Residence Address									
PAN No.				PAN No.				PAN No.									
DIN/UID No.				DIN/UID No.				DIN/UID No.									
photograph		Affix recent passport size photograph				photograph		Affix recent passport size photograph				photograph		Affix recent passport size photograph			
←				←				←									
3 First / Sole Holder				Second Joint Holder				Third Joint Holder									



NIKYC_F



Wealth
The Financial Engineers

TRADING / DEMAT ACCOUNT RELATED DETAILS

FUNDS PAYOUT THROUGH NATIONAL ELECTRONIC FUND TRANSFER (NEFT)

I/We Shri. Ashish Ashish wish to receive funds payout through NEFT. My bank details are as given below for your records. Request you to release my / our funds payout

Bank Name	HDFC BANK													
Bank Address	KHOKHRA BRANCH, AHMEDABAD													
Account Number	5	0	2	0	0	0	3	1	7	4	0	0	1	3
Account Type	Saving			Current			Others (please specify) NB DRIFTLINE CD							
IFSC Code	H	D	F	C	0	0	0	7	4	4	8			
MICR Code	3	8	0	2	4	0	1	3	7					

I/We hereby declare that the above mentioned details are correct & Wealthstreet Advisors Private Limited or any of the group companies shall not be held responsible for any kind of wrong transfer due to incorrect information given by me / us.

Please provide cancelled cheque leaf for MICR & IFSC Code.

DEPOSITORY ACCOUNT(S) DETAILS

DP Name	CDSL										
DP ID	12035500										
BO / UCC Client ID	002 [REDACTED]										
Depository	NSDL <input type="checkbox"/>			CDSL <input checked="" type="checkbox"/>							
DP Address	AHMEDABAD										

For HUF, Partnership Firm, Unregistered Trust, Association of Persons (AOP) etc., although the account is opened in the name of the karta, partner(s), trustee(es) etc., the name & PAN of the HUF, Partnership Firm, Unregistered Trust, Association of Persons (AOP) etc., should be mentioned below:

a) Name	[REDACTED]	FASHIONS	b) PAN	[REDACTED]	6	2	1	7	4
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In case of filis/Others (as may be applicable)

RBI Approval Reference Number											
RBI Approval date											
SEBI Registration Number (for Filis)											

BENEFICIARY NAME(S)

1.											
2.											
3.											



BANK_DP_F

Customer Signature

Customer Signature

INVESTMENT/TRADING EXPERIENCE

No Prior Experience _____ Years in Commodities _____ Years in other investment related fields

GST DETAILS (As applicable, State wise)

Local GST Registration No.			
Name of the State			
Other GST Registration No.			
Name of the State			

MCX CATEGORIZATION

Category	<input type="checkbox"/> Farmers/FPOs	<input type="checkbox"/> Proprietary Traders	<input type="checkbox"/> Foreign Participants	<input type="checkbox"/> Hedgers
	<input type="checkbox"/> Value Chain Participants	<input type="checkbox"/> Domestic financial institutional investors	<input type="checkbox"/> Others	

TRADING PREFERENCE

*Please sign in the relevant boxes where you wish to trade. The segment not chosen should be struck off by the client.

Exchange segment for Equity	NSE	BSE
Cash	5 → 5.1 5.2 5.3 5.4 5.5 5.6 5.7 5.8 5.9 5.10 5.11 5.12 5.13 5.14 5.15 5.16 5.17 5.18 5.19 5.20 5.21 5.22 5.23 5.24 5.25 5.26 5.27 5.28 5.29 5.30 5.31 5.32 5.33 5.34 5.35 5.36 5.37 5.38 5.39 5.40 5.41 5.42 5.43 5.44 5.45 5.46 5.47 5.48 5.49 5.50 5.51 5.52 5.53 5.54 5.55 5.56 5.57 5.58 5.59 5.60 5.61 5.62 5.63 5.64 5.65 5.66 5.67 5.68 5.69 5.70 5.71 5.72 5.73 5.74 5.75 5.76 5.77 5.78 5.79 5.80 5.81 5.82 5.83 5.84 5.85 5.86 5.87 5.88 5.89 5.90 5.91 5.92 5.93 5.94 5.95 5.96 5.97 5.98 5.99 5.100 5.101 5.102 5.103 5.104 5.105 5.106 5.107 5.108 5.109 5.110 5.111 5.112 5.113 5.114 5.115 5.116 5.117 5.118 5.119 5.120 5.121 5.122 5.123 5.124 5.125 5.126 5.127 5.128 5.129 5.130 5.131 5.132 5.133 5.134 5.135 5.136 5.137 5.138 5.139 5.140 5.141 5.142 5.143 5.144 5.145 5.146 5.147 5.148 5.149 5.150 5.151 5.152 5.153 5.154 5.155 5.156 5.157 5.158 5.159 5.160 5.161 5.162 5.163 5.164 5.165 5.166 5.167 5.168 5.169 5.170 5.171 5.172 5.173 5.174 5.175 5.176 5.177 5.178 5.179 5.180 5.181 5.182 5.183 5.184 5.185 5.186 5.187 5.188 5.189 5.190 5.191 5.192 5.193 5.194 5.195 5.196 5.197 5.198 5.199 5.200 5.201 5.202 5.203 5.204 5.205 5.206 5.207 5.208 5.209 5.210 5.211 5.212 5.213 5.214 5.215 5.216 5.217 5.218 5.219 5.220 5.221 5.222 5.223 5.224 5.225 5.226 5.227 5.228 5.229 5.230 5.231 5.232 5.233 5.234 5.235 5.236 5.237 5.238 5.239 5.240 5.241 5.242 5.243 5.244 5.245 5.246 5.247 5.248 5.249 5.250 5.251 5.252 5.253 5.254 5.255 5.256 5.257 5.258 5.259 5.260 5.261 5.262 5.263 5.264 5.265 5.266 5.267 5.268 5.269 5.270 5.271 5.272 5.273 5.274 5.275 5.276 5.277 5.278 5.279 5.280 5.281 5.282 5.283 5.284 5.285 5.286 5.287 5.288 5.289 5.290 5.291 5.292 5.293 5.294 5.295 5.296 5.297 5.298 5.299 5.300 5.301 5.302 5.303 5.304 5.305 5.306 5.307 5.308 5.309 5.310 5.311 5.312 5.313 5.314 5.315 5.316 5.317 5.318 5.319 5.320 5.321 5.322 5.323 5.324 5.325 5.326 5.327 5.328 5.329 5.330 5.331 5.332 5.333 5.334 5.335 5.336 5.337 5.338 5.339 5.340 5.341 5.342 5.343 5.344 5.345 5.346 5.347 5.348 5.349 5.350 5.351 5.352 5.353 5.354 5.355 5.356 5.357 5.358 5.359 5.360 5.361 5.362 5.363 5.364 5.365 5.366 5.367 5.368 5.369 5.370 5.371 5.372 5.373 5.374 5.375 5.376 5.377 5.378 5.379 5.380 5.381 5.382 5.383 5.384 5.385 5.386 5.387 5.388 5.389 5.390 5.391 5.392 5.393 5.394 5.395 5.396 5.397 5.398 5.399 5.400 5.401 5.402 5.403 5.404 5.405 5.406 5.407 5.408 5.409 5.410 5.411 5.412 5.413 5.414 5.415 5.416 5.417 5.418 5.419 5.420 5.421 5.422 5.423 5.424 5.425 5.426 5.427 5.428 5.429 5.430 5.431 5.432 5.433 5.434 5.435 5.436 5.437 5.438 5.439 5.440 5.441 5.442 5.443 5.444 5.445 5.446 5.447 5.448 5.449 5.450 5.451 5.452 5.453 5.454 5.455 5.456 5.457 5.458 5.459 5.460 5.461 5.462 5.463 5.464 5.465 5.466 5.467 5.468 5.469 5.470 5.471 5.472 5.473 5.474 5.475 5.476 5.477 5.478 5.479 5.480 5.481 5.482 5.483 5.484 5.485 5.486 5.487 5.488 5.489 5.490 5.491 5.492 5.493 5.494 5.495 5.496 5.497 5.498 5.499 5.500 5.501 5.502 5.503 5.504 5.505 5.506 5.507 5.508 5.509 5.510 5.511 5.512 5.513 5.514 5.515 5.516 5.517 5.518 5.519 5.520 5.521 5.522 5.523 5.524 5.525 5.526 5.527 5.528 5.529 5.530 5.531 5.532 5.533 5.534 5.535 5.536 5.537 5.538 5.539 5.540 5.541 5.542 5.543 5.544 5.545 5.546 5.547 5.548 5.549 5.550 5.551 5.552 5.553 5.554 5.555 5.556 5.557 5.558 5.559 5.560 5.561 5.562 5.563 5.564 5.565 5.566 5.567 5.568 5.569 5.570 5.571 5.572 5.573 5.574 5.575 5.576 5.577 5.578 5.579 5.580 5.581 5.582 5.583 5.584 5.585 5.586 5.587 5.588 5.589 5.590 5.591 5.592 5.593 5.594 5.595 5.596 5.597 5.598 5.599 5.600 5.601 5.602 5.603 5.604 5.605 5.606 5.607 5.608 5.609 5.610 5.611 5.612 5.613 5.614 5.615 5.616 5.617 5.618 5.619 5.620 5.621 5.622 5.623 5.624 5.625 5.626 5.627 5.628 5.629 5.630 5.631 5.632 5.633 5.634 5.635 5.636 5.637 5.638 5.639 5.640 5.641 5.642 5.643 5.644 5.645 5.646 5.647 5.648 5.649 5.650 5.651 5.652 5.653 5.654 5.655 5.656 5.657 5.658 5.659 5.660 5.661 5.662 5.663 5.664 5.665 5.666 5.667 5.668 5.669 5.670 5.671 5.672 5.673 5.674 5.675 5.676 5.677 5.678 5.679 5.680 5.681 5.682 5.683 5.684 5.685 5.686 5.687 5.688 5.689 5.690 5.691 5.692 5.693 5.694 5.695 5.696 5.697 5.698 5.699 5.700 5.701 5.702 5.703 5.704 5.705 5.706 5.707 5.708 5.709 5.710 5.711 5.712 5.713 5.714 5.715 5.716 5.717 5.718 5.719 5.720 5.721 5.722 5.723 5.724 5.725 5.726 5.727 5.728 5.729 5.730 5.731 5.732 5.733 5.734 5.735 5.736 5.737 5.738 5.739 5.740 5.741 5.742 5.743 5.744 5.745 5.746 5.747 5.748 5.749 5.750 5.751 5.752 5.753 5.754 5.755 5.756 5.757 5.758 5.759 5.760 5.761 5.762 5.763 5.764 5.765 5.766 5.767 5.768 5.769 5.770 5.771 5.772 5.773 5.774 5.775 5.776 5.777 5.778 5.779 5.780 5.781 5.782 5.783 5.784 5.785 5.786 5.787 5.788 5.789 5.790 5.791 5.792 5.793 5.794 5.795 5.796 5.797 5.798 5.799 5.800 5.801 5.802 5.803 5.804 5.805 5.806 5.807 5.808 5.809 5.810 5.811 5.812 5.813 5.814 5.815 5.816 5.817 5.818 5.819 5.820 5.821 5.822 5.823 5.824 5.825 5.826 5.827 5.828 5.829 5.830 5.831 5.832 5.833 5.834 5.835 5.836 5.837 5.838 5.839 5.840 5.841 5.842 5.843 5.844 5.845 5.846 5.847 5.848 5.849 5.850 5.851 5.852 5.853 5.854 5.855 5.856 5.857 5.858 5.859 5.860 5.861 5.862 5.863 5.864 5.865 5.866 5.867 5.868 5.869 5.870 5.871 5.872 5.873 5.874 5.875 5.876 5.877 5.878 5.879 5.880 5.881 5.882 5.883 5.884 5.885 5.886 5.887 5.888 5.889 5.890 5.891 5.892 5.893 5.894 5.895 5.896 5.897 5.898 5.899 5.900 5.901 5.902 5.903 5.904 5.905 5.906 5.907 5.908 5.909 5.910 5.911 5.912 5.913 5.914 5.915 5.916 5.917 5.918 5.919 5.920 5.921 5.922 5.923 5.924 5.925 5.926 5.927 5.928 5.929 5.930 5.931 5.932 5.933 5.934 5.935 5.936 5.937 5.938 5.939 5.940 5.941 5.942 5.943 5.944 5.945 5.946 5.947 5.948 5.949 5.950 5.951 5.952 5.953 5.954 5.955 5.956 5.957 5.958 5.959 5.960 5.961 5.962 5.963 5.964 5.965 5.966 5.967 5.968 5.969 5.970 5.971 5.972 5.973 5.974 5.975 5.976 5.977 5.978 5.979 5.980 5.981 5.982 5.983 5.984 5.985 5.986 5.987 5.988 5.989 5.990 5.991 5.992 5.993 5.994 5.995 5.996 5.997 5.998 5.999 6.000 6.001 6.002 6.003 6.004 6.005 6.006 6.007 6.008 6.009 6.010 6.011 6.012 6.013 6.014 6.015 6.016 6.017 6.018 6.019 6.020 6.021 6.022 6.023 6.024 6.025 6.026 6.027 6.028 6.029 6.030 6.031 6.032 6.033 6.034 6.035 6.036 6.037 6.038 6.039 6.040 6.041 6.042 6.043 6.044 6.045 6.046 6.047 6.048 6.049 6.050 6.051 6.052 6.053 6.054 6.055 6.056 6.057 6.058 6.059 6.060 6.061 6.062 6.063 6.064 6.065 6.066 6.067 6.068	

PAST ACTIONS

Details of any action/proceedings initiated/pending/ taken by SEBI/ Stock exchange/any other authority against the applicant/constituent or its Partners/promoters/whole time directors/authorized persons in charge of dealing in securities during the last 3 years:

NO

DEALINGS THROUGH SUB-BROKERS AND OTHER STOCK BROKERS

If client is dealing through the sub-broker, provide the following details:

Sub-broker's Name:			
Registered office address:	NSE SEBI Regn. No.:		
	BSE SEBI Regn. No.:		
	Tel.:		
	Fax:		
	Website :		

whether dealing with any other stock broker/sub-broker (in case dealing with multiple stock brokers/sub-brokers, provide details of all)

Name of stock broker:			
Name of sub-broker, if any:			
Client Code:			
Exchange:			
details of disputes/dues pending from/to such stock broker/sub- broker:			

ADDITIONAL DETAILS

Whether you wish to receive physical contract note or Electronic Contract Note (ECN) (please specify):	<input checked="" type="checkbox"/> Electronic Contract Note	<input type="checkbox"/> Physical
Specify your Email id, if applicable: The E-mail Id belongs to : If Family Member, then Relation	<input checked="" type="checkbox"/> Own <input type="checkbox"/> Family Members	<i>XXXXXXXXXXXX@513@gmail.com</i>
Whether you wish to receive SMS alert from Stock Exchanges / Stock Broker:	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
Detail of Owner of Mobile Number Registered: The Mobile Number belongs to : If Family Members, then Relation	<input checked="" type="checkbox"/> Own <input type="checkbox"/> Family Members	<i>XXXXXXXXXX</i>
Family Account Adjustment	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
Inter Segment Adjustment	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
Pledge Authorization Against Margin Requirement / Outstanding Debit with Clearing Corporation / Financial Institution	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
Whether you wish to avail of the facility of internet trading/ wireless technology (please specify):	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
Number of years of Investment/Trading Experience:		
Any other information:		



ADD_F



Stamp & Sign

INTRODUCER DETAILS

Name of the Introducer	POO.S.?
Branch / SB / RM / Employee Code	<i>[scribble]</i>
Status of the Introducer:	<input type="checkbox"/> Sub-broker <input type="checkbox"/> Remisier <input checked="" type="checkbox"/> Authorized Person <input type="checkbox"/> Existing Client <input type="checkbox"/> Employee <input type="checkbox"/> Others, please specify _____
Address and Tel No of the Introducer	
Introducer Code	<i>[scribble]</i>
Introducer's Signature	<i>[Signature]</i>

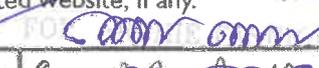
OTHER DETAILS

IN CASE OF INDIVIDUAL & NON INDIVIDUAL

Gross Annual Income details (please specify): Income Range per annum: (₹ in lacs)			
<input type="checkbox"/> Below ₹ 1 Lac	<input type="checkbox"/> ₹ 1 to ₹ 5 Lac	<input type="checkbox"/> ₹ 5 to ₹ 10 Lac	<input checked="" type="checkbox"/> ₹ 10 to ₹ 25 Lac
<input type="checkbox"/> ₹ 25 to ₹ 1 crore	<input type="checkbox"/> Above ₹ 1 crore		
OR			
Net-worth as on date	₹	(Net worth should not be older than 1 year)	
Occupation (please tick any one and give brief details):	<input type="checkbox"/> Private Sector	<input type="checkbox"/> Public Sector	<input type="checkbox"/> Government Service
	<input checked="" type="checkbox"/> Business	<input type="checkbox"/> Student	<input type="checkbox"/> Housewife
	<input type="checkbox"/> Professional	<input type="checkbox"/> Agriculturist	<input type="checkbox"/> Retired
please tick, if applicable:	<input type="checkbox"/> Politically Exposed Person (PEP) <input type="checkbox"/> Related to a Politically Exposed Person (PEP)		
Nature of Business :	<input type="checkbox"/> Manufacturing <input type="checkbox"/> Service <input type="checkbox"/> Trading <input type="checkbox"/> Consultant <input type="checkbox"/> Other _____		
Risk Categorization :	<input type="checkbox"/> High <input type="checkbox"/> Medium <input type="checkbox"/> Low		
Any other information :			

DECLARATION ACCEPTANCE FOR TRADING ACCOUNT

- I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.
- I confirm having read/been explained and understood the contents of the document on policy and procedures of the stock broker and the tariff sheet, and all voluntary / non-mandatory documents.
- I further confirm having read and understood the contents of the 'Rights and Obligations' document(s), 'Risk Disclosure Document' and 'Guidance Note' with 'Policy and Procedures' Do's and Dont's I do hereby agree to be bound by such provisions as outlined in these documents. I have also been informed that the standard set of documents has been displayed for Information on stock broker's designated website, if any.




Stamp & signature



BROKERAGE TARIFF DETAILS

BROKERAGE RATES (Equity)

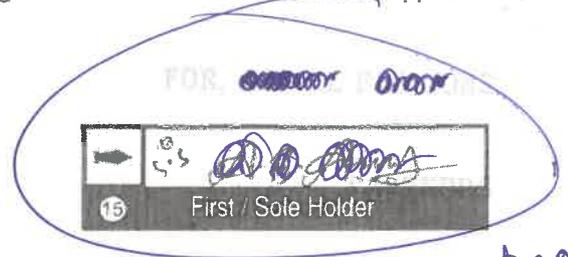
Mention on percentage or paisa very clearly	Trading / Intra-day first leg-second leg	Minimum (mention on percentage or paisa)	Delivery (Cm) / Carry forward (f&O)	Minimum (mention on percentage or paisa)	Minimum (per Options contract)
CM Segment	0.01	0.01	0.10	0.01	N.A.
F&O Segment					
Currency					

BROKERAGE RATES (Commodity)

Mention on percentage or paisa very clearly	Trading / Intra-day first leg-second leg	Minimum (mention on percentage or paisa)
MCX		
NCDEX		

Note :

- The above rates are exclusive of Stamp Duty, Exchange Transaction charges, Securities Transaction Tax, SEBI Turnover Charges and GST which will be charged extra at the rate prevailing from time to time. Further it is exclusive of any other charges /levies that may be imposed from time to time.
- The General Rates as mentioned here shall be applied unless the Special Rates as may be agreed by the Client and the same are mentioned above.
- For Internet Based Trading (IBT) clients, additional charges with regard to software access etc. will be applicable as per the terms agreed at relevant point in time.



Stamp & Signature



BRK_F

I / We request you to open a demat account in my/our name as per the following details :

CDSL

Holders Details

Sole / First Holder's Name		PAN		01d d 2 2 2 2 2 2 2 2		
		UID				
Occupation (please tick any one and give brief details):		<input type="checkbox"/> Private Sector	<input type="checkbox"/> Public Sector	<input type="checkbox"/> Government Service	<input checked="" type="checkbox"/> Business	<input type="checkbox"/> Student
		<input type="checkbox"/> Professional	<input type="checkbox"/> Agriculturist	<input type="checkbox"/> Retired	<input type="checkbox"/> Housewife	<input type="checkbox"/> Others
Second Holder's Name		PAN				
		UID				
Occupation (please tick any one and give brief details):		<input type="checkbox"/> Private Sector	<input type="checkbox"/> Public Sector	<input type="checkbox"/> Government Service	<input type="checkbox"/> Business	<input type="checkbox"/> Student
		<input type="checkbox"/> Professional	<input type="checkbox"/> Agriculturist	<input type="checkbox"/> Retired	<input type="checkbox"/> Housewife	<input type="checkbox"/> Others
Third Holder's Name		PAN				
		UID				
Occupation (please tick any one and give brief details):		<input type="checkbox"/> Private Sector	<input type="checkbox"/> Public Sector	<input type="checkbox"/> Government Service	<input type="checkbox"/> Business	<input type="checkbox"/> Student
		<input type="checkbox"/> Professional	<input type="checkbox"/> Agriculturist	<input type="checkbox"/> Retired	<input type="checkbox"/> Housewife	<input type="checkbox"/> Others

* In case of Firms, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of the natural persons, the name of the Firm, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., should be mentioned above.

Name *	PAN
--------	-----

Type of Account - Individual (please tick whichever is applicable)

<input type="checkbox"/> Individual	<input type="checkbox"/> Individual Resident	<input type="checkbox"/> Individual - Director
	<input type="checkbox"/> Individual Director's Relative	<input type="checkbox"/> Individual HUF / AOP
	<input type="checkbox"/> Individual Promoter	<input type="checkbox"/> Minor
	<input type="checkbox"/> Individual Margin Trading A/C (MANTRA)	<input type="checkbox"/> Other (specify) _____
<input type="checkbox"/> NRI	<input type="checkbox"/> NRI Repatriable	<input type="checkbox"/> NRI Non-Repatriable
	<input type="checkbox"/> NRI Repatriable Promoter	<input type="checkbox"/> NRI Non-Repatriable Promoter
	<input type="checkbox"/> NRI - Depository Receipts	<input type="checkbox"/> Other (specify) _____
<input type="checkbox"/> Foreign National	<input type="checkbox"/> Foreign National	<input type="checkbox"/> Foreign National - Depositor Receipts
		<input type="checkbox"/> Other (specify) _____

Type of Account - Non-Individual (please tick whichever is applicable)

Status		Sub - Status
<input checked="" type="checkbox"/> Body Corporate	<input type="checkbox"/> Banks <input type="checkbox"/> Trust <input type="checkbox"/> Mutual Fund <input type="checkbox"/> OCB <input type="checkbox"/> FI	To be filled by the DP
<input type="checkbox"/> CM <input type="checkbox"/> FI	<input type="checkbox"/> Clearing House <input type="checkbox"/> Other (specify) _____	
SEBI Registration No. (If Applicable)	SEBI Registration Date	
RBI Registration No. (If Applicable)	RBI Approval Date	
Nationality	<input type="checkbox"/> Indian <input type="checkbox"/> Others (specify) _____	

Guardian details (where sole holder is a minor)

[For account of a minor, two KYC Application Forms must be filled i.e. one for the guardian and another for the minor (to be signed by guardian)]

Guardian Name	
PAN	
Relationship of Guardian with minor	

Standing Instructions

I/We instruct the DP to receive each and every credit in my/ our account (If not marked, the default option would be 'Yes')	Automatic Credit <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
Account to be operated through Power of Attorney	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
I/We would like to instruct the DP to accept all the pledge instructions in my/our account without any other further instruction from my/our-end (If not marked, the default option would be 'No')	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No



DP_DET1_F

Signature
 PARTNERS

Account Statement Requirement As per SEBI Regulation Daily Weekly Fortnightly Monthly

I / We request you to send Electronic Transaction-cum-Holding Statement at the email ID Yes No

I / We would like to share the email ID with RTA Yes No

I / We would like to receive the Annual Report Physical Electronic
(Tick the applicable box. If not marked the default option would be in Physical)

I / We wish to receive dividend / Interest directly into my bank account through ECS (If not marked default option would be yes)
(ECS is mandatory for location notified by SEBI from time to time) Yes No

SMS Alert Facility First Holder - Yes No Second Holder - Yes No Third Holder - Yes No

I wish to avail the TRUST facility using the Mobile number registered for SMS Alert Facility

Transactions Using Secured Texting Facility (TRUST). Refer to Terms and Conditions Annexure - 2.6 Yes No

I/We wish to register the following clearing member Ids under my/our below mentioned BOID registered for TRUST

Stock Exchange Name/ID	Clearing Member Name	Clearing Member ID (Optional)

Easi To register for easi, please visit our website www.wealthstreet.in
EASI allows a BO to view his ISIN balances, transactions and value of the portfolio online

Clearing Member Details (to Be Filled Up By Clearing Members Only)

1	Name of Stock Exchange	
2	Name of Clearing Corporation/Clearing House	
3	Clearing Member ID	
4	SEBI Registration Number	
5	Trade Name	
6	CM-BP-ID (to be filled up by Participant)	

Any other information : Politically Exposed Person (PEP)
 Related to a Politically Exposed Person (PEP)

Please Tick, If Applicable, For Any Of Your Authorized Signatories/promoters/partners/karta/trustees/whole Time Directors:

DECLARATION ACCEPTANCE FOR DEMAT ACCOUNT

The rules and regulations and Bye laws of the Depository and Depository Participants pertaining to an account which are in force now have been read by me/us and I/we have understood the same and we agree to abide by and to be bound by the rules, regulations and bye laws as are in force from time to time for such accounts, I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am / we are aware that I/we may be held liable for it. In case non-resident account, I/We also declare that I/We have complied and will continue to comply with FEMA regulations. I/We acknowledge the receipt of copy of the document, "Rights and Obligations of the Beneficial Owner and Depository Participant".

Sole/First Holder	Name (s) of Holder(s)	Signature(s) of Holder
Sole/First Holder/ Guardian/ Authorised Signatory (in case sole holder is minor) (Mr./Mrs.)	<i>Partner name</i> [Handwritten Name]	<input checked="" type="checkbox"/> [Signature]
Second Holder/ Authorised Signatory (Mr./Mrs.)	[Blank]	<input type="checkbox"/>
Third Holder/ Authorised Signatory (Mr./Mrs.)	[Blank]	<input type="checkbox"/>

With last stamp signature



Mode Of Operations For Sole/First Holder (in Case Of Joint Holdings, All The Holders Must Sign)

<input type="checkbox"/> Any one singly	<input type="checkbox"/> Jointly by
<input type="checkbox"/> As per resolution	<input type="checkbox"/> Others (please specify)

Notes:

1. In case of additional signatures, separate annexures should be attached to the application form.
2. Thumb impressions and signatures other than English or Hindi or any of the other language not contained in the 8th Schedule of the Constitution of India must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate.
3. For receiving Statement of Account in electronic form:
 - I. Client must ensure the confidentiality of the password of the email account.
 - II. Client must promptly inform the Participant if the email address has changed.
 - III. Client may opt to terminate this facility by giving 10 days prior notice. Similarly, Participant may also terminate this facility by giving 10 days prior notice.
4. Strike off whichever is not applicable.



DP_DET3_F

BO NOMINATION FORM (DEMAT AND TRADING)

Dear Sir/Madam

- I/ We do not wish to nominate any one for this demat account. *(In this case, strike off nominee details section and sign)*
 I/We nominate the following person who is entitled to receive security balance lying in my/our account, particulars whereof are given below, in the event of the death of sole holder or the death of all the joint holders.

BO ACCOUNT DETAILS

DP ID	Client ID
Name of the Sole/First Holder	
Name of Second Holder	
Name of Third Holder	

NOMINATION DETAILS

I/We wish to make a nomination and do hereby nominate the following person(s) who shall receive all securities held in the Depository by me / us in the said beneficiary owner account in the event of my / our death.

Nomination can be made upto three nominees in the account.	Details Of 1 st Nominee	Details Of 2 nd Nominee	Details Of 3 rd Nominee
1. Name of the nominee(s) (Mr./Ms.)			
2. Share of each Nominee	Equally <input type="checkbox"/> [If not equally, please specify percentage]		
<i>Any odd lot after division shall be transferred to the first nominee mentioned in the form.</i>			
*Residual Securities [Please tick any one nominee. If tick not marked default will be first Nominee]:			
3. Relationship With the Applicant (If Any)			
4. Address of Nominee(s)			
Pincode			
5. Mobile/Telephone No. of nominee(s)			
6. Email ID of nominee(s)			
7. PAN No.			
8. Nominee Identification details – [Please tick any one of following and provide details of same] <input type="checkbox"/> Photograph & Signature <input type="checkbox"/> PAN <input type="checkbox"/> Aadhaar <input type="checkbox"/> Saving Bank Account No. <input type="checkbox"/> Proof of Identity <input type="checkbox"/> Demat Account ID			
Sr. No. 9-15 Should be filled only if nominee(s) is a minor:			
9. Date of Birth {in case of minor nominee(s)}			
10. Name of Guardian (Mr./Ms.) {in case of minor nominee(s)}			
11. Address of Guardian(s)			
Pincode			



NOM_B

12.	Mobile/Telephone no. of Guardian		
13.	Email ID of Guardian		
14.	Relationship of Guardian with nominee		
15.	Guardian Identification details -- [Please tick any one of following and provide details of same] <input type="checkbox"/> Photograph & Signature <input type="checkbox"/> PAN <input type="checkbox"/> Aadhaar <input type="checkbox"/> Saving Bank Account No. <input type="checkbox"/> Proof of Identity <input type="checkbox"/> Demat Account ID		

Place _____ Date _____

	FIRST / SOLE HOLDER	SECOND HOLDER	THIRD HOLDER
specimen signatures	⑰ →		→

Note: Atleast one witness signature (s) / Thumb impression(s) required.

DETAILS OF THE WITNESS	
	First Witness
Name of Witness	
Address of Witness	
Signature of Witness	→

(To be filled by the depository participant)

For Wealthstreet Advisors Private Limited

Nomination accepted and registered vide

Registration No. _____ dated _____

(Authorised Signatory)

* Note: Residual securities: In case of multiple nominees, please choose any one nominee who will be credited with residual securities remaining after distribution of securities as per percentage of allocation. If you fail to choose one such nominee, then the first nominee will be marked as nominee entitled for residual shares, if any.

Notes:

- The nomination can be made only by individuals holding beneficiary owner accounts on their own behalf singly or jointly. Non- individuals including society, trust, body corporate and partnership firm, karta of Hindu Undivided Family, holder of power of attorney cannot nominate. If the account is held jointly, all joint holders will sign the nomination form.
- A minor can be nominated. In that event, the name and address of the Guardian of the minor nominee shall be provided by the beneficial owner.
- The Nominee(s) shall not be a trust, society, body corporate, partnership firm, karta of Hindu Undivided Family or a power of Attorney holder. A non-resident Indian can be a Nominee, subject to the exchange controls in force, from time to time.
- Nomination in respect of the beneficiary owner account stands rescinded upon closure of the beneficiary owner account. Similarly, the nomination in respect of the securities shall stand terminated upon transfer of the securities.
- Transfer of securities in favour of a Nominee(s) shall be valid discharge by the depository and the Participant against the legal heir.
- The cancellation of nomination can be made by individuals only holding beneficiary owner accounts on their own behalf singly or jointly by the same persons who made the original nomination. Non- individuals including society, trust, body corporate and partnership firm, karta of Hindu Undivided Family, holder of power of attorney cannot cancel the nomination. If the beneficiary owner account is held jointly, all joint holders will sign the cancellation form.
- On cancellation of the nomination, the nomination shall stand rescinded and the depository shall not be under any obligation to transfer the securities in favour of the Nominee(s).
- Nomination can be made upto three nominees in a demat account. In case of multiple nominees, the Client must specify the percentage of share for each nominee that shall total upto hundred percent. In the event of the beneficiary owner not indicating any percentage of allocation/share for each of the nominees, the default option shall be to settle the claims equally amongst all the nominees.
- On request of Substitution of existing nominees by the beneficial owner, the earlier nomination shall stand rescinded. Hence, details of nominees as mentioned in the FORM 10 at the time of substitution will be considered. Therefore, please mention the complete details of all the nominees.
- Copy of any proof of identity must be accompanied by original for verification or duly attested by any entity authorized for attesting the documents, as provided in Annexure D.
- Savings bank account details shall only be considered if the account is maintained with the same participant.
- DP ID and client ID shall be provided where demat details is required to be provided.



NOM.F

**Schedule of Charge Structure for DEMAT Services
Corporate Investor**

SERVICE	CHARGE			
	REGULAR* HUF ✓	LIFE TIME HUF	CORPORATE	LIFE TIME *** FREE AMC
Annual Maintenance Charge	Rs.400/- 1 st Year Free	Rs.1500/-	Rs.1000/-	FREE
Transfer (Buy/Credit)	NIL	NIL	NIL	NIL
Transfer (Sell/ Debit) Market WSAPL Account	Rs.15/-	Rs.20/-	Rs.15/-	Rs.25/-
Market /off Market Transfer Other than WSAPL	Rs.30/-	Rs.40/-	Rs.30/-	Rs.50/-
Demat	Rs.5 /- Per Certificate with min Rs.40/- Per request + Rs.50/- Postage Charges per request			
Remat	Rs.50 /- Postage Charges +Rs.11 /- Per 100 Securities / Rs.20/- Per Certificate whichever is higher, Maximum 500000/-			
Pledge Creation	Rs. 25/- Per ISIN			
Pledge Closure/Invocation	Rs. 25/- Per ISIN			
Margin Pledge	Rs. 15/- Per ISIN			
Margin Unpledge	Rs. 15/- Per ISIN			
Freeze-De-Freeze	Rs.50/-			
Failed Instruction Charges	NIL			

Notes :

- GST at actual wherever applicable.
- We reserve the rights to change/add charges with 30 days prior notice.
- Charges/Tariffs shall be revised / changed at WSAPL's discretion, intimation of which shall be done by E-mail/Post
- For account opening charges/annual maintenance, cheques required Payable at Par/DD.
- All payments should be in favour of "Wealthstreet Advisors Private Limited".
- Currently I/We want to open regular Demat Account. I/We are aware that my regular Demat Account will be converted to BSDA as per SEBI circular No. CIR/MRD/DP/20/2015 dated 11th December 2015 at the end of the billing cycle if the same is eligible for the BSDA as per SEBI guidelines. Despite this, in subsequent years, I/ We hereby request you to allow me/us to continue the said Demat Account under normal scheme as availed by me/us at the time of account opening/modified (if any) to avail regular DP services. If I wish to convert the said Demat Account to BSDA, I/We intimate the same to WSAPL.

BSDA:**

- Scheme Applicable only to an Individual Account Holder.
- I/We have read and understood the Securities and Exchange Board of India's guidelines for facility for a BSDA
- First/Sole holder does not have / propose to have any other Demat Account across Depositories.
- In case of BSDA, if the value of holdings exceeds more than Rs. 200000/- any date then charges will be levied as applicable to Regular Scheme (non-BSDA) from that date onwards.

Acceptance by client

I/We accept above Charges Structure.

DP ID	1	2	0	8	5	5	0	0	Client ID										
-------	---	---	---	---	---	---	---	---	-----------	--	--	--	--	--	--	--	--	--	--

18	First / Sole Holder	Second Joint Holder	Third Joint Holder



DP_CHRG_B

Account Opening form supplement - FATCA (Individuals)

To,,

Wealthstreet Advisors Private Limited

A-1101, Mondeal Heights, Besides Novotel Hotel,
S.G. Highway, Ahmedabad-380015.

Please Furnish The Below Information Along With Supporting Documents

Name

Country of Residence

Country of Birth

Please check () Yes or No to each of the following questions

1. Are you a U. S. Resident ? Yes No
2. Are you a U. S. Citizen ? Yes No
3. Do you hold a U.S. Permanent Resident Card (Green Card Holder) ? Yes No
4. If answer to any of the questions from 1 to 3 is Yes then please provide you Tax Identification Number which is your Social Security Number in Form W9 Yes No

I hereby confirm that the information provided above is true, accurate and complete.

Subject to applicable laws I hereby consent for **Wealthstreet Advisors Private Limited (WSAPL)** or any of its affiliates (including branches) (collectively **Wealthstreet Advisors Private Limited (WSAPL)**) to share my information with domestic or overseas regulators or tax authorities where necessary to establish my tax liability in my jurisdiction.

Where required by the domestic or overseas regulators or tax authorities, I consent and agree that **Wealthstreet Advisors Private Limited (WSAPL)** may withhold from my account(s) such amounts as may be required according to applicable laws, regulations and directives.

I undertake to notify **Wealthstreet Advisors Private Limited (WSAPL)** within 30 calendar Days if there is any change in information which I have provided to **WSAPL**.

Date : _____


21 Signature of Client



POWER OF ATTORNEY

I/We, (an individual/a sole proprietor/a partnership firm/an HUF/A company/a trust/a society/an Association), executed through Mr./ Ms. ARCHIE FASHIONS (proprietor/partners in case of partnership firm/kartain case of H.U.F./Authorized person in case of Company/Trustee(s) in the case of a trust/members of an Association), residing/having office/registered office at _____
SENDS GREETINGS.

WHEREAS

1. I/We hold Beneficiary owner account no.(s) 12085500 _____ with Central Depository Services (India) Limited, through Wealthstreet Advisors Private Limited, a Depository Participant bearing DP-ID 12085500.
2. I/We am/are an investor(s) engaged in buying and selling of securities/ commodities vide client code/trading code no. Through Wealthstreet Advisors Private Limited, a member of National Stock Exchange of India Limited (NSE), Bombay Stock Exchange Limited (BSE) and MCX Bearing SEBI Registration No.INZ000157331 havin gits office at A-1101, Mondeal Heights, Besides NOVOTEL Hotel, S.G. Highway, Ahmedabad – 380015 (Here in after called "the stock broker")
3. Due to exigency and paucity of time, I/We am/are desirous of appointing an agent/attorney to operate the afore said beneficiary account(s) on my/our behalf for a limited purpose in the manner here in after appearing:

NOW KNOW WE ALL AND THESE PRESENTS WITNESS THAT

I/We the above named in, without any restriction of my operating the above said beneficiary account, do hereby Nominate, constitute and appoint Wealthstreet Advisors Private Limited a member of NSE, BSE and MCX as my/our true and lawful attorneys (here in after referred to as the Attorneys) forme/us and on my/our behalf and in my/our name to do instruct the afore said Depository Participant to operate my above mentioned beneficial account, to do and/or cause to be done the following acts, deed and things:

1. Transfer of Securities/ Commodity held in the my/our aforesaid beneficial owner account(s) towards Recognized Stock Exchanges/ Commodity Exchanges Clearing house of the Recognized Stock Exchanges/ Commodity Exchanges related margin / delivery obligations arising out of trades executed by me/ us on the said Recognized Stock Exchanges/ Commodity Exchanges through _____.
2. Pledge the Securities/Commodity held in the my / our aforesaid beneficial owner account(s) in favour of Recognized Stock Exchanges/ Commodity Exchanges Clearing house of the Recognized Stock Exchanges/ Commodity Exchanges for the limited purpose of meeting the margin requirements in connection with the trades executed by me / us on the said Recognized Stock Exchanges/ Commodity Exchanges through Wealthstreet.
3. To apply for various products like Mutual Funds, Public Issues (shares as well as debentures),rights, offer of shares,tendering shares in open offers etc. pursuant to my instructions)
4. To send consolidated summary my scrip-wise buy and sell positions taken with average rates to the client by was of SMS / email ona daily basis, not withstanding any other document to be disseminated as specified by SEBI from time to time.
5. I/ We further agree and confirm that the powers and authorities conferred by this Power of Attorney shall continue until I/ We have given to the Depository Participant instruction in writing for revocation of the aforesaid Power of Attorney.

For the purpose of execution of the above, I authorize the Attorney to debit securities for transfer/pledge, as the case may be from my a fore said beneficial account to below mentioned beneficial account owners as well as other accounts of CM's to meet with settlement/margin obligation:



For Market Pay-In

Sr. No.	Name of Account Holder	DP ID	CLIENT ID
1	Wealthstreet Advisors Private Limited - BSE POOL A/C CDSL	12085500	00000717
2	Wealthstreet Advisors Private Limited - BSE PRINCIPAL A/C CDSL	12085500	00000721
3	Wealthstreet Advisors Private Limited - BSE POOL A/C NSDL	IN301330	22406422
4	Wealthstreet Advisors Private Limited - BSE EARLY PAYIN A/C	11000010	00024033
5	Wealthstreet Advisors Private Limited - NSE POOL A/C NSDL	IN301330	22405989
6	Wealthstreet Advisors Private Limited - NSE SLB 322/A/C	12085500	00008773
7	Wealthstreet Advisors Private Limited - NSE POOL A/C CDSL	12085500	00000736
8	Wealthstreet Advisors Private Limited - NSE EARLY PAYIN A/C	11000011	00020381
9	Wealthstreet Advisors Private Limited - CLIENT UNPAID SECURITIES A/C	12085500	00023690
10	Wealthstreet Advisors Private Limited - CDSL TCM Margin Pledge Account	12085500	00056528
11	Wealthstreet Advisors Private Limited - MTF Collateral Margin Pledge Account	12085500	00056908
12	Wealthstreet Advisors Private Limited - MTF Funded Margin Pledge Account	12085500	00055790
13	Wealthstreet Advisors - NSDL TCM Margin Pledge Account	IN301330	40371061
14	Wealthstreet Advisors - NSDL CUSA Account	IN301330	22406973

IN WITNESS WHEREOF, I/We hereto have executed this Power of Attorney as of the day and year first above written.

(First/Sole Holder Signature)
 (Second Holder Signature)
 (Third Holder Signature)

Signature of Witness: FW

Name: _____

Address: _____

Signature of Witness: SW

Name: _____

Address: _____

I / We accept (For Wealthstreet Advisors Private Limited)

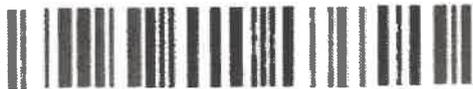
Authorised Signatory: _____

Name: _____

Date: _____

Place: _____

In case of any correction(s) in the form, sign next to the correction(s) done. & sign has to match with the original signature



POA2_B

Stamp with signature

DECLARATION BY HUF

Name : _____

Address : _____

Date : _____

To,
Wealthstreet Advisors Private Limited

A-1101, Mondeal Heights, Besides Novotel Hotel,
S.G. Highway, Ahmedabad-380015.

Dear Sir,

We, the undersigned members of _____

(HUF) are desirous of opening a Trading / Demat Account in the above-mentioned name with you. The said account will be operated by _____ (Karta) on behalf of _____ (HUF).

We hereby undertake that all the transactions undertaken by the said HUF shall be binding not only on the present members of the said joint family (both adults and minors) but also on all future members thereof (both adults and minors) and all persons entitled to a share therein and ourselves personally.

Also that whenever any change occurs in the management or in the constitution of the said joint family of whatsoever nature, we undertake to give notice thereof to you.

Sr. No.	Name Of Member	Designation	Relationship	Date Of Birth	Signature
1.		Karta			
2.		Co-Parcener			
3.		Co-Parcener			
4.		Co-Parcener			
5.		Co-Parcener			
6.		Co-Parcener			
7.		Co-Parcener			

Thanking you,
Yours faithfully,

➔

(HUF Stamp & Sign)



Voluntary Authorisation Letter

From :

ANNE DEBBOO

Anne debboo

Date :

Wealthstreet Advisors Pvt Ltd

A-1101, Mondeal Heights,
Besides Novotel Hotel, S.G. Highway,
Ahmedabad-380015.

Client Code: 900000

DP Client Code: 00000000

By ticking on YES you authorize WSAPL to maintain the trading Accounts as Running Account thereby adjusting any obligations and receivables.

Dear Sir,

Subject : Voluntary Authorisation letter

1. Authorization relating to retain/adjust the shares/securities/ funds and Running Account Maintenance:- Yes No

- a. You can maintain my account on a running account basis and adjust any securities and/or amounts receivable from me against any credits standing into my account or from my forthcoming payouts payable by me to Wealthstreet Advisors Pvt Ltd, where I am registered with you as a Client.
- b. I request you to keep my securities, margins and/or funds with you to meet my pay in obligations in the succeeding settlements in the same segment as well as other segments of BSE and/or NSE / MCX and/or NCDEX where I am registered with you as a Client.
- c. Further it is observed that many times the date on which payment is due to me from you and the date which I am required to make payment to you are very close and therefore exchange of cheques become unnecessary paper work. Hence, I request you to maintain the running account facility with you. I agree that you shall not be required to pay any interest/ charges/ cost in respect of funds or securities so held by you on a running account basis.

d. Further, I hereby give my consent to you to maintain my account on a RUNNING ACCOUNT basis instead of on a daily settlement-to-settlement basis. The securities lying in the pool/ beneficiary's accounts of the Wealthstreet Advisors Pvt Ltd, should be considered as margin deposits from me.

e. However my preference for compulsory periodic settlement of funds/securities as follows:

Monthly Quarterly

- f. I declare that this authorisation is revocable by me at any time without giving any prior notice of such revocation to Wealthstreet Advisors Pvt Ltd
- g. I agree that in case, I desire to take any pay outs from my trading account, I specifically shall intimate about the same and Wealthstreet Advisors Pvt Ltd, shall upon due scrutiny of my account and upon adherence to its policy/procedure, shall release eligible amount to me.
- h. I further agree that this authorisation shall have an equal binding effect to the successors, executors and assigns of Wealthstreet Advisors Pvt Ltd.
- i. I hereby authorise you to transfer/adjust all the additional funds/securities lying in my ledger account after meeting my obligations/dues, to the collateral account to avail exposure or keep all funds/securities in your margin account with you to meet my margin obligations or keep the same with any exchange and/or with clearing member in the form of fixed deposit or any other form to avail exposure/meet margin requirements. I understand that there would not be any interest/commission payable to me in the event of above arrangement. This arrangement would be without any consideration or the fund/securities so moved will not bear any interest/commission payable to me/is in the event of above arrangement..

2. Authorization for receiving ECN's and any documents/communications in electronic form by E-mail from Stock Broker and Depository

Participant display on Website:- Yes No

I am registered as a Client and having the captioned trading account with WSAPL & Depository account with WSAPL and hereby authorize WSAPL for the following:

By ticking on YES you authorize WSAPL to send all important

E-mail ID: anndeboo@3@gmail.com

Stamp with signature
22 First / Sole Holder

To be continued on next page



VOL_AUT_LTR_F

Stamp with signature

- a) I/we authorize WSAPL to issue me/us electronic contract notes (ECN's), bills, trade confirmations, ledgers, daily margin statements, statement of accounts for periodical settlement of funds and securities, any notices, circulars, amendments and such other correspondence or communication related to my/our trading account (hereinafter referred to as "Documents") and wherever required duly authenticated by means of a digital signature as specified in the information technology Act, 2000 and the rules made there under to the E-mail ID as mentioned hereunder:
- b) I/We understand that the documents received on e-mail/displayed on website are for my/our convenience. I/we will take all the necessary steps to ensure confidentiality and secrecy of the login name & password of the internet/email account.
- c) I/We is/are aware that the documents as may be accessed by other entities in case the confidentiality/ secrecy of the login name and password is compromised.
- d) I/We shall verify the authenticity of the e-mails which I/we shall receive. WSAPL shall not be responsible, if I/we do not receive the documents due to incorrect email ID and/or technical reasons.
- e) I authorize WSAPL to issue me bills, ledgers, monthly/quarterly/yearly demat transaction cum holding statements, any notices, circulars, amendments and such other correspondence or communication related to my demat account (hereinafter referred to as "Documents") and wherever required duly authenticated by means of a digital signature as specified in the information technology Act, 2000 .
- f) I understand that wherever the e-mails have not been delivered to me or has been rejected (bouncing of mails) from the e-mail ID of mine, WSAPL would send physical document to me. I further hereby agree that WSAPL have fulfilled the legal obligation, if the above documents are sent electronically to the above-mentioned e-mail ID. I agree that WSAPL will not be responsible for non receipt of documents sent via electronic delivery due to change in email address or for any other reason which inter alia include my email/inbox running out of capacity, malfunction of my computer system/server/internet connection, mails received by frauds/imposters etc. I also agree that WSAPL shall not take cognizance of out-of office/ out-of station auto replies and I shall be deemed to have received such electronic mails.
- g) I shall inform WSAPL in writing if there is any change in my registered e-mail ID. Abhishek Kumar 

Abhishek Kumar
gmail.com

3) Authorisation for debiting various depository charges:- Yes No

By ticking on YES you authorize WSAPL to deduct all DP charges from your account.

- a) I hereby give my consent/authority to debit/recover all types of depository charges viz annual maintenance charges, inter settlement charges, any type of transaction charges as is levied on me for the transactions carried out in my demat account including any statutory levies, services tax or any other tax/charges/fees in/from my trading ledger having the captioned client code as maintained with WSAPL. I understand and agree that such depository charges will be debited in my trading ledger maintained with WSAPL irrespective of the ledger balance on periodically and/or as per the details provided by WSAPL.
- b) I instruct WSAPL to provide the requisite information periodically and/or on occasion basis of such charges levied on me to WSAPL with whom I have opened the trading account
- c) I understand and consent that WSAPL shall have the right to recover the depository charges like any other trade dues payable by me from my trading ledger. I hereby further authorize WSAPL to set off a part or whole of the collateral/ledger balances/securities in my demat account i.e. by way of appropriation of the relevant amount of cash or by way of sell or transfer or liquidation/close out positions of all or some of the securities placed as collateral or lying in my demat account as stated above for the purpose of clearing any outstanding amount related to the aforesaid demat account maintained with WSAPL. Any and all losses and financial charges on account of such liquidation/close-out shall be borne by me.

By ticking on YES you express your approval and interest in receiving information on various other financial products/services that WSAPL has to offer. You also allow WSAPL to share your preferences with other allied businesses.

E-mail ID: Abhishek Kumar 

FOR Abhishek Kumar

23 First / Sole Holder:



To be continued on next page

Return to HS

MUTUAL FUND CONFIRMATION

Date: 12-01-2026

To, WealthStreet Advisors Private Limited

Regd. office : A-1101, Mondeal Heights, Besides Novotel Hotel, S.G. Highway, Ahmedabad-380015.

Dear Sir,

Subject: BSE Star MF/MFSS

I/We [Signature] am/are registered as your client with Client Code No. [Signature] and have entered into relationship with the Trading Member for the purpose of trading in the Capital Market Segment of Bombay Stock Exchange Ltd. (Exchange) and National Stock Exchange Ltd. (Exchange).

I/We am/are interested in availing the trading facility of the Exchange for the purpose of dealing in the units of Mutual Funds Schemes permitted to be dealt with on the BSE STAR MF and MFSS on the Exchange.

I/We am/are provides my consent for mutual fund trading against collateral lying my account. Also, aware that in event of non clearance of debit in my/our trading accounts; there is risk of stock collateral or MF collateral getting liquidated to extent of my/our ledger debit for recovery of trading debit.

For the purpose of availing the BSE STAR MF & MFSS. I/We state that Know Your Client details as submitted by me/ us for the stock broking may be considered for the purpose of BSE STAR MF & MFSS and I/We further confirm that the details contained in same remain unchanged as on date.

I/We am/are willing to abide by the terms and conditions as mention in the circular dated December 2, 2009 and such other Notices/Circulars as may be specified by the Exchange/ICCI from time to time in this regards, for BSE STAR MF and Terms & Conditions mentioned in circular No. NSE/MFSS/003/2001 download Ref. No. NSE (MIC/13533) dated November 24, 2009.

I/We shall ensure also compliance with the requirements as may be specified from time to time by Securities and Exchange Board of India and Association of Mutual Funds of India (AMFI)

I/We shall read and understand the contents of the Scheme Information Document and Key Information Memorandum, addenda issued regarding each Mutual Fund Schemes with respect to which I/We choose to subscribe/redeem. I/We further agree to abide by the terms and conditions, rules and regulations of the Mutual Fund Schemes.

I/We therefore request you to register me/us as your client for participating in BSE STAR MF and MFSS

Thanking you,

Yours faithfully,

Stamp with signature

Stamp: FOR [Signature] Client Signature/Authorise Signatories

Client Name [Signature]

Demat A/c No. 1208330000 [Signature]

1st Holder [Signature] fashions

2nd Holder

3rd Holder

Date: 12/01/2026

Place: A' Bud



Electronic Contract Note [ECN] DECLARATION
(VOLUNTARY)

Appendix A

To,
WEALTHSTREET ADVISORS PVT. LTD.

Dear Sir,

I, Arjun E. PANDYAN a client with Member
M/s. WEALTHSTREET ADVISORS PVT. LTD. of COMMODITY

Exchange undertake as follows:

- I am aware that the Member has to provide physical contract note in respect of all the trades placed by me unless I myself want the same in the electronic form.
- I am aware that the Member has to provide electronic contract note for my convenience on my request only.
- Though the Member is required to deliver physical contract note, I find that it is inconvenient for me to receive physical contract notes. Therefore, I am voluntarily requesting for delivery of electronic contract note pertaining to all the trades carried out / ordered by me.
- I have access to a computer and am a regular internet user, having sufficient knowledge of handling the email operations.
- My email id is arjunpandyan13@gmail.com. This has been created by me and not by someone else.
- I am aware that this declaration form should be in English or in any other Indian language known to me.

[The above declaration has been read and understood by me. I am aware of the risk involved in dispensing with the physical contract note, and do hereby take full responsibility for the same]

(The above lines must be reproduced in own handwriting of the client.)

Client Name: Arjun E. PANDYAN
Unique Client Code : 1310005
PAN: AXAX 2X 6217A

Address : A1 Bud

Signature of the client



Date : 12/01/2026

Place: A1 Bud

Verification of the client signature done by,
Name of the designated officer of the Member

Signature

Date : 12/01/2026



CLIENT MASTER - FOR USE BY WSAPL

STRICTLY BRANCH REFERENCE

Place _____ Date _____

Authorised Person / Business Associate sharing arrangement details and Sub-brokers (name & code)	
Signature	

BROKERAGE DETAILS - REFER PAGE NO. 8

STRICTLY HO REFERENCE

Initial entries

CALL VERIFICATION	INWARD PARTICULARS	DOCUMENT VERIFICATION
Date _____	Date _____	Date _____
Time _____	Time _____	Time _____
Sign _____	Sign _____	Sign _____
DATA ENTRY PARTICULARS	ACCOUNT ACTIVATION	BACK OFFICE MASTERS
Date _____	Code Allotted (all segments)	Date _____
Time _____	Date _____	Updated by _____
Sign _____	Sign _____	Sign _____

Remarks _____

PROCEDURE CHECK LIST

<input type="checkbox"/> Call verification of Client	<input type="checkbox"/> Mail sent to Client	<input type="checkbox"/> SMS sent to Client	<input type="checkbox"/> Welcome kit dispatch
--	--	---	---

Signature of Back-office Incharge / Compliance Official _____

Intimation from Branch by		Receipt mode
Document received		
Signature of Back-office Incharge		Date _____
Audited by	Original	Rejected pursuant
Audit date		



CHK_LIST_B

Instructions:

- This declaration form has to be sent by the Member to the client on the email id provided by client while opening the trading account.
- The client shall, on receipt of this email, print the email as well as the declaration form.
- The hard copy of the declaration shall be filled up by the client and submitted to the Member along with a signed hard copy of the email. The Member shall acknowledge the receipt of the declaration from the client.
- The size of the font of this declaration must be at least 12.

To,
WealthStreet Advisors Private Limited

Regd. office : A-1101, Mondeal Heights, Besides Novotel Hotel,
S.G. Highway, Ahmedabad-380015.

Dear Sir,

Sub : My / Our request for trading in commodity forward contracts / commodity derivatives on one or more exchanges as your client

I/We, the undersigned, have taken cognizance of circulars issued by different commodity exchanges in pursuance of directions received from SEBI from time to time regarding calculation of net open positions permitted in any commodity and I/we hereby undertake to comply with the same.

I/We hereby declare and undertake that we will not exceed the position limits prescribed from time to time by different exchanges or SEBI or Forward Markets Commission and such position limits will be calculated in accordance with the circulars of respecting exchanges as modified from time to time.

I/We undertake to inform you and keep you informed if I/any of our partners/ directors/ karta/ trustee or any of the partnership firms/ companies/ HUFs/ Trusts in which I or any of above such person is a partner/ director/ karta/trustee, takes or holds any position in any commodity forward contract/ commodity derivative on different exchanges through you or through any other member(s) of different exchanges, to enable you to restrict our position limit as prescribed by the above referred circular of different exchanges as modified from time to time.

I/We confirm that you have agreed to enter orders in commodity forward contracts/ commodity derivatives for me/us as your clients on different exchanges only on the basis of our above assurances and undertaking.

Yours faithfully,

Signature of the client
(Sole Proprietor / Partner / Director / Karta / Trustee)

FOR ROOM OFFICE

27 Signature of Client

Date : 12 / 01 / 2016

Place: *ahmad*

2 stamp with signature



COM_CON_F

Schedule of Charge Structure for DEMAT Services Individual Investor

SERVICE	BSDA** SCHEME	DP SCHEME *		
		REGULAR ✓	LIFE TIME	LIFE TIME FREE AMC
Annual Maintenance Charge	NIL **	Rs.400/- 1st Year Free	Rs.1500/-	FREE
Transfer (Buy/Debit)	NIL	NIL	NIL	NIL
Transfer (Sell/Debit) Market WFSPL Account	Rs.25/-	Rs.15/-	Rs.20/-	Rs.25/-
Market /off Market Transfer Other than WFSPL	Rs.50/-	Rs.30/-	Rs.40/-	Rs.50/-
Demat	Rs.5 /- Per Certificate with min Rs.40/- Per request + Rs.50/- Postage Charges per request			
Remat	Rs.50 /- Postage Charges Rs.11 /- Per 100 Securities / Rs.20/- Per Certificate whichever is higher, Maximum 500000/-			
Pledge Creation		Rs.25/- Per ISIN		
Pledge Closure/Invocation		Rs.25/- Per ISIN		
Margin Pledge		Rs.25/- Per ISIN		
Margin Unpledge		Rs.25/- Per ISIN		
Freeze-De-Freeze		Rs.50/-		
Failed Instruction Charges		NIL		

** Nil for holding < Rs. 4,00,000/-

** Holding from Ps. 40,001/- to Rs.10,00,000/- AMC of Rs. 100/-

** Holding > Rs.10,00,000/- yearly AMC account tariff applicable

I/We wish to avail BSDA facility : Yes No

If I/We am/are found to be not eligible for BSDA scheme due to non-fulfilment of criteria prescribed by SEBI, then the default scheme applicable shall be yearly AMC.

NOTES:

- 1 We reserve the rights to change/add charges with 30 days prior notice.
- 2 Charges/Tariffs shall be revised / changed at WFSPL is discretion, intimation of which shall be done by E-mail/Post
- 3 For account opening charges/annual maintenance, cheques required Payable at Par/DD.
- 4 All payments should be in favour of "Wealthstreet Financial Services Private Limited".
- 5 The above rates are exclusive of Stamp Duty, Exchange Transaction charges, Securities Transaction Tax, SEBI Turnover Charges and GST which will be charged extra at the rate prevailing from time to time. Further it is exclusive of any other charges /levies that may be imposed from time to time.
- 6 For Internet Based Trading (IBT) clients, additional charges with regard to software access etc. will be applicable as per the terms agreed at relevant point in time.
- 7 We are charging interest 24% on Trading ledger Debit and 13% on Demat Ledger Debit.
- 8* Whenever you are not eligible for BSDA or Optout from BSDA which tariff plan do you want to select?
Subject to initial margin of minimum Rs. 2 lacs. I/We accept above Charges Structure.

Trade Code : 130000

Client Name : ANAND KUMAR ANAND

DP ID 1 2 0 8 5 5 0 0 Client ID 0 0 2 4 3 6 4 3



(First/Sole Holder Signature)



(Second Holder Signature)



(Third Holder Signature)

Without stamp signature required.

Anand Kumar Anand

INDIA NON JUDICIAL



सत्यमेव जयते

Government of National Capital Territory of Delhi

250

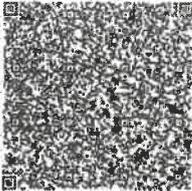
e-Stamp

Certificate No. : IN-DL77029033287919X
Certificate Issued Date : 30-Apr-2025 04:29 PM
Account Reference : IMPACC (IV)/dl801203/ DELHI/ DL-WSD
Unique Doc. Reference : SUBIN-DL DL80120393225409079532X
Purchased by : WEALTHSTREET FINANCIAL SERVICES PVT LTD
Description of Document : Article 5 General Agreement
Property Description : Not Applicable
Consideration Price (Rs.) : 0
(Zero)
First Party : WEALTHSTREET FINANCIAL SERVICES PVT LTD
Second Party : OTHERS
Stamp Duty Paid By : WEALTHSTREET FINANCIAL SERVICES PVT LTD
Stamp Duty Amount(Rs.) : 50
(Fifty only)

₹ 50 ₹ 50 ₹ 50 ₹ 50

सत्यमेव जयते

₹ 50



Please write or type below this line

IN-DL77029033287919X

Statutory Alert:

1. The authenticity of this Stamp certificate should be verified at 'www.sholestamp.com' or using e-Stamp Mobile App of Stock Holding. Any discrepancy in the details on this Certificate and as available on the website / Mobile App renders it invalid.
2. The onus of checking the legitimacy is on the users of the certificate.
3. In case of any discrepancy please inform the Competent Authority.

3.	Mutual Fund transactions being executed on Stock Exchange order entry Platforms	1st holder Name	XXXXXXXXXX <i>Partner name</i>
		Signature X	<i>only sign</i>
		2nd holder Name	
		Signature X	
		3rd holder Name	
4.	Tendering shares in open offers through Stock Exchange Platforms	1st holder Name	XXXXXXXXXX <i>Partner name</i>
		Signature X	<i>only sign</i>
		2nd holder Name	
		Signature X	
		3rd holder Name	

LIST OF DEMAT ACCOUNT WFSPL			Annexure —2
Sr. No	Name of the Account Holder	DP ID	Client ID
1.	Wealthstreet Financial Services Private Limited - BSE POOL A/c CDSL	12085500	00000717
2.	Wealthstreet Financial Services Private Limited - BSE POOL A/C NSDL	IN301330	22406422
3.	Wealthstreet Financial Services Private Limited - BSE EARLY PAYIN A/C	11000010	00024033
4.	Wealthstreet Financial Services Private Limited - NSE POOL A/C NSDL	IN301330	22405989
5.	Wealthstreet Financial Services Private Limited - NSE SLB POOL A/C	12085500	00008773
6.	Wealthstreet Financial Services Private Limited - NSE POOL A/C CDSL	12085500	00000736
7.	Wealthstreet Financial Services Private Limited - NSE EARLY PAYIN A/C	11000011	00020381
8.	Wealthstreet Financial Services Private Limited - CDSL TMCM Margin Pledge A/C	12085500	00056528
9.	Wealthstreet Financial Services Private Limited - MTF Collateral Mar in Pledge A/C	12085500	00056908
10.	Wealthstreet Financial Services Private Limited - MTF Funded Margin Pledge A/C	12085500	00055790
11.	Wealthstreet Financial Services Private Limited - NSDL TMCM Margin Pledge A/C	IN301330	40371061
12.	Wealthstreet Financial Services Private Limited - NSDL CUSPA Account	IN301330	22406973
13.	Wealthstreet Financial Services Private Limited - CLIENT UNPAID SECURITIES A/C	12085500	00131681

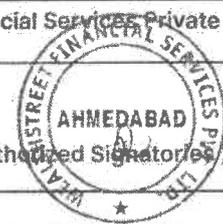
Sr. No	Name of Members/Co Parceners	Signatures of Members/Co Parceners
1.		
2.		
3.		

I/We accept (For Wealthstreet Financial Services Private Limited)

Date: 12/01/2020

Place: Al Bud

(Authorized Signatories) / Esign



Instructions for FATCA & CRS Declaration

- A. **Financial Institution / Foreign Financial Institution [FFI]** – Means any non-US financial institutions that is a – (1) Depository institution – accepts deposits in the ordinary course of banking or similar business; (2) Custodian institution – as a substantial portion of its business, hold financial assets for the accounts of others; (3) Investment entity – conducts a business or operates for or on behalf of a customer for any of the activities like trading in money market instruments, foreign exchange, foreign currency, etc. or individual or collective portfolio management or investing, administering or managing funds, money or financial assets on behalf of other persons; or an entity managed by this type of entity; or (4) Insurance company – entity issuing insurance products i.e. life insurance; (5) Holding or Treasury company – entity that is part of an expanded affiliate group that includes a depository, custodian, specified insurance company or investment entity
- B. **“Non-Reporting Financial Institution”**

Sr. No.	Category
1	Governmental Entity, International Organization or Central Bank, other than with respect to a payment that is on an obligation held in connection with a commercial financial activity of a type in by reporting financial institution
2	Treaty Qualified Retirement Fund; a Broad Participation Retirement Fund; a Narrow Participation Retirement Fund; or a Pension Fund of a Governmental entity; International Organization or Central Bank
3	A non-public fund of the armed forces, Employees’ State Insurance Fund, a gratuity fund or a provident fund
4	An Indian investment entity held only by (1) to (3) above
5	A qualified Credit Card Issuer
6	Specified Investment advisor or investment manager or executing broker
7	Specified Exempt collective investment vehicle
8	A financial institution with a local client base
9	A local bank*
10	A financial institution with only low value accounts
11	Sponsored investment entity and controlled foreign corporation
12	Trustee documented trust
13	Sponsored closely held investment vehicle, incase of any U.S. Reportable Account

*Regional Rural Banks constituted under the Regional Rural Bank Act 1976 (21 of 1976), Urban Cooperative Banks constituted under respective State Cooperative Societies Act or Multi State Cooperative Societies Act, State Cooperative Banks or District Central Cooperative Banks constituted under respective State Cooperative Societies Act and Local Area Banks licensed under the Banking Regulations Act, 1949 (10 of 1949) and regulated and registered as public limited companies under the Companies Act, 1956 (1 of 1956) or Companies Act, 2013 (18 of 2013), that satisfy the requirement under sub-clause (iv) shall be treated as local bank for the purpose of this clause.

- C. **Active NFFE – Any one of the following –**

Code	Sub-Category
1	Less than 50% of the NFE’s gross income for the preceding calendar year or other appropriate reporting period is passive income and < 50% of the assets held by NFE are assets that produce or are held for the production of passive income
2	The NFE is a Governmental Entity, an International Organization, a Central Bank, or an entity wholly owned by one or more of the foregoing;
3	Substantially all of the activities of the NFE consist of holding (in whole or in part) the outstanding stock of, or providing financing and services to, one or more subsidiaries that engage in trades or businesses other than the business of a Financial Institution, except that an entity shall not qualify for NFE status if the entity functions (or holds itself out) as an investment fund, such as a private equity fund, venture capital fund, leveraged buyout fund, or any investment vehicle whose purpose is to acquire or fund companies and then hold interests in those companies as capital assets for investment purposes;
4	The NFE is not yet operating a business and has no prior operating history, but is investing capital into assets with the intent to operate a business other than that of a Financial Institution, provided that the NFE shall not qualify for this exception after the date that is 24 months after the date of the initial organization of the NFE;

Computer signature with stamp



Controlling Person Declaration:

Name of Controlling person	Correspondence Address	Country of residence for tax purpose	TIN (if any)	TIN issuing Country	Controlling person type
XXXXXXXXXX	ABHINAVNAGAR	INDIA			PARTNER

FUN. AMM. ON

Computer signature with stamp

Details	For Controlling person 1	For Controlling person 2	For Controlling person 3	For Controlling person 4	For Controlling person 5
Document submitted for Identification : Passport/Election Card/PAN card/Govt. ID Card / Others	PAN				
Identification Number	XXXXXXXXXX				

Computer signature with stamp

Declaration:

I/We acknowledge and confirm that the information provided above is true and correct to the best of my/our knowledge and belief. In case any of the above specified information is found to be false or untrue or misleading or misrepresenting, I/We am/are aware that I/We may liable for it. I/We hereby authorize you to disclose, share, rely, remit in any form, mode or manner, all / any of the information provided by me/us, including all changes, updates to such information as and when provided by me/us to any of the Exchanges/Depositories/Mutual Fund, its sponsor, Asset Mgmt. Co., trustees, their employees / RTAs ('the Authorized Parties') or any Indian or foreign governmental or statutory or judicial authorities / agencies including but not limited to the Financial Intelligence Unit-India (FIU-IND), the tax / revenue authorities in India or outside India wherever it is legally required and other investigation agencies without any obligation of advising me/us of the same. Further, I/We authorize to share the given information to other SEBI Registered Intermediaries /or any regulated intermediaries registered with SEBI / RBI / IRDA / PFRDA to facilitate single submission / update & for other relevant purposes. I/We also undertake to keep you informed in writing about any changes / modification to the above information in future and also undertake to provide any other additional information as may be required at your / Fund's end or by domestic or overseas regulators/ tax authorities. I/We authorize Fund/AMC/RTA to provide relevant information to upstream payors to enable withholding to occur and pay out any sums from my account or close or suspend my account(s) without any obligation of advising me of the same. I /We understand that you do not offer any tax advice on CRS/FATCA or its impact on me/us. I/We shall seek advice from Professional Tax Advisor for any tax questions.

Signature with relevant seal:

	X _____	X _____
--	---------	---------

DATE: 12-01-2026 PLACE: Abhinav Nagar

Stamp with signature

5	The NFE was not a Financial Institution in the past five years, and is in the process of liquidating its assets or is reorganizing with the intent to continue or recommence operations in a business other than that of a Financial Institution
6	The NFE primarily engages in financing and hedging transactions with, or for, Related Entities that are not Financial Institutions, and does not provide financing or hedging services to any Entity that is not a Related Entity, provided that the group of any such Related Entities is primarily engaged in a business other than that of a Financial Institution;
7	<p>Any NFE is a 'non for profit' organization which meets all of the following requirements:</p> <ul style="list-style-type: none"> ➤ It is established and operated in its jurisdiction of residence exclusively for religious, charitable, scientific, artistic, cultural, athletic, or educational purposes; or it is established and operated in its jurisdiction of residence and it is a professional organization, business league, chamber of commerce, labour organization, agricultural or horticultural organization, civic league or an organization operated exclusively for the promotion of social welfare; ➤ It is exempt from income tax in India; ➤ It has no shareholders or members who have a proprietary or beneficial interest in its income or assets; ➤ The applicable laws of the NFE's jurisdiction of residence or the NFE's formation documents do not permit any income or assets of the NFE to be distributed to, or applied for the benefit of, a private person or non-charitable Entity other than pursuant to the conduct of the NFE's charitable activities, or as payment of reasonable compensation for services rendered, or as payment representing the fair market value of property which the NFE has purchased; and ➤ The applicable laws of the NFE's jurisdiction of residence or the NFE's formation documents require that, upon the NFE's liquidation or dissolution, all of its assets be distributed to a governmental entity or other non-profit organization, or escheat to the government of the NFE's jurisdiction of residence or any political subdivision thereof.

D. Passive NFE - means any NFE that is not (i) an Active NFE or listed / publicly traded entity or entity related to the listed / publicly traded entity, or (ii) a withholding foreign partnership or withholding foreign trust pursuant to relevant U.S. Treasury Regulations or (iii) the gross income of which is primarily attributable to investing, reinvesting, or trading in financial assets, if the entity is managed by another entity that is a depository institution, a custodial institution, a specified insurance company, or an investment entity - (Note: Foreign persons having controlling interest in a passive NFE are liable to be reported for tax information compliance purposes)

E. Direct Reporting NFFE - a NFFE that elects to report information about its direct or indirect substantial U.S. owners to the IRS

F. GIIN not required category

Code	Sub-Category
01	Governmental Entity, International Organization or Central Bank
02	Treaty Qualified Retirement Fund; a Broad Participation Retirement Fund; a Narrow Participation Retirement Fund; or a Pension Fund of a Governmental Entity, International Organization or CtIBk
03	Non-public fund of the armed forces, an employees' state insurance fund, a gratuity fund or a provident fund
04	Entity is an Indian FI solely because it is an investment entity
05	Qualified credit card issuer
06	Investment Advisors and Investment Managers
07	Exempt collective investment vehicle
08	Trustee of an Indian Trust
09	FI with a local client base
10	Non-registering local banks
11	FFI with only Low-Value Accounts
12	Sponsored investment entity and controlled foreign corporation
13	Sponsored, Closely Held Investment Vehicle
14	Owner Documented FFI

- G. Listed Company** - A company is publicly traded if its stock are regularly traded on one or more established securities markets (Established securities market means an exchange that is officially recognized and supervised by a governmental authority in which the securities market is located and that has a meaningful annual value of shares traded on the exchange)
- H. Related Entity** - an entity is a "Related Entity" of another entity if one controls the other, or the two entities are under common control (where control means direct or indirect ownership of more than 50% of the vote or value in an entity)
- I. Non-financial foreign entity [NFFE]** - Non-US entity that is not a financial institution [including a territory NFFE]. Following NFFEs are excluded from FATCA reporting – (a) Publicly traded corporation / listed companies; (b) Related Entity of a listed company; and (c) Active NFFE
- J. Passive Income** - portion of gross income that consists of dividends, interest, rents and royalties (other than rents and royalties derived in the active conduct of a trade or business conducted, at least in part, by employees of the NFFE), income equivalent to interest / amount received from pool of insurance contracts, annuities, excess of gains over losses from the sale or exchange of property / from transactions (including futures, forwards or similar transactions) in any commodities but not including (i) any commodity hedging transaction, determined by treating the entity as a controlled foreign corporation or (ii) active business gains or losses from the sale of commodities but only if substantially all the foreign entity's commodities are property, excess of foreign currency gains over losses, net income from notional principal contracts, amounts received under cash value insurance contracts, amounts earned by insurance company in connection with its reserves for insurance and annuity contracts
- K. Controlling Persons** - means the natural persons who exercise control over an entity. In the case of a trust, such term means the settlor, the trustees, the protector (if any), the beneficiaries or class of beneficiaries, and any other natural person exercising ultimate effective control over the trust, and in the case of a legal arrangement other than a trust, such term means persons in equivalent or similar positions. The term "Controlling Persons" shall be interpreted in a manner consistent with the Financial Action Task Force Recommendations.
- L. Non-Participating FFIs [NPFIs]** - an FFI other than participating FFI, a deemed-compliant FFI, or an exempt beneficial owner
- M. Specified US Persons** - Any US Person other than i). A publicly traded corporation; ii). A corporation that is a member of the same expanded affiliate group; iii). A tax exempt organization; iv). an individual retirement plan; v). the United States or an agency or instrumentality of the United States; vi). Any state [including District of Columbia and United States possession] or State Authorities; vii). A bank, viii). A real estate investment trust; ix). A regulated investment company; x). an entity registered with the SEC under the Investment Company Act of 1940; xi). A common trust fund; xii). A tax exempt trust; xiii). A registered dealer; xiv). A registered broker
- N. Expanded affiliated group** - One or more chains of members connected through ownership (50% or more, by vote or value) by a common parent entity if the common parent entity owns stock or other equity interests meeting the requirements in atleast one of the other members
- O. Owner documented FFI** - AN FFI meeting the following requirements – (a) FFI is an FFI solely because it is an investment entity; (b) FFI is not owned by or related to any FFI that is a depository institution, custodial institution, or specified insurance company; (c) FFI does not maintain a financial account for any non-participating FFI; (d) FFI provides the designated withholding agent with all of the documentation and agrees to notify the withholding agent if there is a change in the circumstances, and (e) The designated withholding agent agrees to report to the IRS (or, in case of a reporting Model 1 IGA, to the relevant foreign government or agency thereof) all of the information described in or (as appropriate) with respect to any US specified persons and (2) Notwithstanding the previous sentence, the designated withholding agent is not required to report information with respect to an indirect owner of the FFI that holds its interest through a participating FFI, a deemed-compliant FFI (other than an owner-documented FFI), an entity that is a US person, an exempt beneficial owner, or an exempted NFE

P. Exemption Code for US persons

Code	Sub-category
A	An organization exempt from tax under section 501(a) or any individual retirement plan as defined in section 7701(a)(37)
B	The United States or any of its agencies or instrumentalities
C	A state, the District of Columbia, a possession of the United States, or any of their political subdivisions or instrumentalities
D	A corporation the stock of which is regularly traded on one or more established securities markets, as described in Reg. section 1.1472-1(c)(1)(i)
E	A corporation that is a member of the same expanded affiliated group as a corporation described in Reg. section 1.1472-1(c)(1)(i)
F	A dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any state
G	A real estate investment trust
H	A regulated investment company as defined in section 851 or an entity registered at all times during the tax year under the Investment Company Act of 1940
I	A common trust fund as defined in section 584(a)
J	A bank as defined in section 581
K	A broker
L	A trust exempt from tax under section 664 or described in section 4947(a)(1)
M	A tax exempt trust under a section 403(b) plan or section 457(g) plan
N	Not Applicable



Account Opening form supplement - FATCA (Individuals)

To,

Wealthstreet Financial Services Private Limited
A-1101, Mondeal Heights, Besides Novotel Hotel,
S.G. Highway, Ahmedabad-380015.

Please Furnish The Below Information Along With Supporting Documents

Name ABHIRAM ANANDKANTHAR OMBICHA

Country of Residence INDIA

Country of Birth INDIA

Please check () Yes or No to each of the following questions

- 1. Are you a U. S. Resident ? Yes No
- 2. Are you a U. S. Citizen ? Yes No
- 3. Do you hold a U.S. Permanent Resident Card (Green Card Holder) ? Yes No
- 4. If answer to any of the questions from 1 to 3 is Yes then please provide you Tax Identification Number which is your Social Security Number in Form W9

I hereby confirm that the information provided above is true, accurate and complete.

Subject to applicable laws I hereby consent for **Wealthstreet Financial Services Private Limited (WFSPL)** or any of its affiliates (including branches) (collectively **Wealthstreet Financial Services Private Limited (WFSPL)**) to share my information with domestic or overseas regulators or tax authorities where necessary to establish my tax liability in my jurisdiction.

Where required by the domestic or overseas regulators or tax authorities, I consent and agree that **Wealthstreet Financial Services Private Limited (WFSPL)** may withhold from my account(s) such amounts as may be required according to applicable laws, regulations and directives.

I undertake to notify **Wealthstreet Financial Services Private Limited (WFSPL)** within 30 calendar Days if there is any change in information which I have provided to **WFSPL**.

only in AB Ombichar

(Client Signature)

Date 12/01/2020

