

# PLEDGE REQUEST FORM (PRF)

Setup of Pledge     
  Confirmation of Pledge     
  Rejection of Pledge

**WEALTHSTREET FINANCIAL SERVICES PRIVATE LIMITED**  
 A-1101 Mondeal Heights, Besides Novotel Hotel,  
 S G Highway, Ahmedabad-380015. Gujarat.

Please fill all the details in **Block Letters** in English

PRF No.		Date	D	D	M	M	Y	Y	Y	Y
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I/We request you to **create the pledge / confirm the creation of pledge** for the following securities. I/We have read and understood the Depositories Act, SEBI Regulations and the Bye Laws in relation to pledge of securities and I/We agree to abide by and be bound by the Act, Regulations and the Bye Laws as are in force from time to time for such pledges.

<b>Pledgor's Details</b>																	
DP ID	1	2	0	8	5	5	0	0	Client ID								
	1.																
	2.																
	3.																

<b>Pledgee's Details</b>																	
DP ID									Client ID								
Pledgee's Name	1.																
	2.																
	3.																

Details of Securities:     **Free Securities**       **Locked-in Securities**

Details of Securities offered for Pledge.							
Sr. no	ISIN	Company Name	Quantity	Date of Release (lock-in)	PSN (System Generated)	Accepted / Rejected by Pledgee	Pledged value

Attach an annexure duly signed by the account holder(s), if the space above is insufficient.

<b>Pledge Execution Date</b>	D	D	M	M	Y	Y	Y	Y
Pledge Expiry Date	D	D	M	M	Y	Y	Y	Y
Total Pledge Value (Rs.)								
Agreement No.								
Date of Pledging	D	D	M	M	Y	Y	Y	Y
<b>Pledge Reason [tick mark whichever is applicable]</b>	Collateral -Debt Issuance by Co./Grp Co.	Collateral for loan by Company/ Group Co	Collateral for loan by the Third Party	Margin Pledge/ MTF for Exchange Trade	Personal use by promoters and PACs			
	<input type="checkbox"/> Collateral for self Loan	<input type="checkbox"/> CUSPA Pledge	<input type="checkbox"/> MFOS Pledge					

**PAN of the Ultimate Lender :** \_\_\_\_\_

*Note : As a pledgor and pledgee, We are aware that the pledge recorded in the system only prohibits the Pledgor from dealing with securities until redemption/maturity/expiry date of the securities pledged and that the records of pledged securities may be removed from the system as a result of redemption/maturity/expiry of the securities.*

Pledge Rejection Details	Pledge Rejection by Pledgor DP	Pledge Rejection by Pledgee DP
Date of Rejection of Pledge		
Pledge Reason [tick mark whichever is applicable ]	<input type="checkbox"/> 01 Units not available for Amount requested. <input type="checkbox"/> 02 Closure Date not accepted. <input type="checkbox"/> 03 Pledged Quantity not accepted. <input type="checkbox"/> 04 ISIN not accepted. <input type="checkbox"/> 05 Security details not acceptable. <input type="checkbox"/> 06 ISIN delisted from Trading. <input type="checkbox"/> 07 POA not received from all holders. <input type="checkbox"/> 08 Holders not acceptable to the pledgee. <input type="checkbox"/> 09 Agreement no. differs from that on the agreement. <input type="checkbox"/> 10 Others. <input type="checkbox"/> 11 Transfer Quantity not accepted. <input type="checkbox"/> 12 Violation of terms of agreement. <input type="checkbox"/> 13 Closure Quantity not accepted. <input type="checkbox"/> 14 Pledged amount/margin not paid. <input type="checkbox"/> 15 Market value of the pledged ISIN is insufficient.	<input type="checkbox"/> 01 Units not available for Amount requested. <input type="checkbox"/> 02 Closure Date not accepted. <input type="checkbox"/> 03 Pledged Quantity not accepted. <input type="checkbox"/> 04 ISIN not accepted. <input type="checkbox"/> 05 Security details not acceptable. <input type="checkbox"/> 06 ISIN delisted from Trading. <input type="checkbox"/> 07 POA not received from all holders. <input type="checkbox"/> 08 Holders not acceptable to the pledgee. <input type="checkbox"/> 09 Agreement no. differs from that on the agreement. <input type="checkbox"/> 10 Others. <input type="checkbox"/> 11 Transfer Quantity not accepted. <input type="checkbox"/> 12 Violation of terms of agreement. <input type="checkbox"/> 13 Closure Quantity not accepted. <input type="checkbox"/> 14 Pledged amount/margin not paid. <input type="checkbox"/> 15 Market value of the pledged ISIN is insufficient.

**To be filled and signed in case of Set-up/ Rejection of Pledge by Pledgor BO**

**Signature of the Pledgor**

First/ Sole Applicant	Second Applicant	Third Applicant

**To be filled in case of acceptance/Rejection of Pledge by Pledgee BO**

**Signature of Pledgee(s) \***

First Holder	Second Holder	Third Holder

\* If the Pledgee is a bank DP, the signature of the pledgee need not be taken on the Pledge request form.

**Depository Participant Seal and Signature**